

AOP ID and Title:

AOP 314: Binding to estrogen receptor (ER)- α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)

Short Title: Binding to ER- α leading to exacerbation of SLE

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Abstract

This AOP describes the linkage between the binding to estrogen receptor (ER) α in immune cells with the exacerbation of the autoimmune disease systemic lupus erythematosus (SLE).

Estrogen receptors (ERs), ER α and ER β , are a group of proteins that are activated by the steroid hormone estrogen and are widely expressed in most tissue types, including most immune cells. ER α can be activated with exogenous and endogenous estrogens. Also, there are numerous xenoestrogens that exist in the environment and imitate estrogen. Bisphenol A (BPA) is an example of a xenoestrogen that is considered an endocrine disrupting (ED) compound. SLE is an autoimmune disease characterized by overproduction of a variety of anti-cell nuclear and other pathogenic autoantibodies. It is characterized by B-cell hyperactivity, polyclonal hypergammaglobulinemia, and immune complex deposition.

Binding to ER α in immune cells by a xenoestrogen or endogenous estrogen marks the molecular initiating event (MIE), which results in induction of GATA3 expression (KE1). One theory of immune regulation involves homeostasis between T-helper 1 (Th1) and T-helper2 (Th2) activity, however GATA3 expression induce increase of Th2 cells producing cytokine interleukin-4 (IL-4) (KE2), which results in increase of anti-DNA antibody from autoreactive B cell (KE3). This sequence of pathway means that the immune system skew from a Th1 to a Th2 profile, which results in the adverse outcome (AO) of exacerbated SLE.

We have identified a number of key events along this pathway and determined the key event relationships, based on which we have created an AOP for binding to ER α in immune cells leading to exacerbated SLE.

AOP Development Strategy

Context

It is well recognized that allergic diseases and autoimmune diseases are markedly increased the last several decades. About the same time, increasing scientific and social attention had been paid to environmentally dispersed chemicals that can enter the body by ingestion or adsorption and that mimic the actions of estrogens. These chemicals are termed endocrine disruptors (EDs) or environmental estrogens and are found in plastics (bisphenol-A, phthalates), pesticides (DDT, hexachlorobenzene, and dieldrin) and the like. Some of these estrogenic chemicals have also been shown to influence the immune system. Endocrine disruptors mimic hormones, block or alter hormone binding to receptors, or alter the metabolism of natural estrogens. It has been widely noted that females have stronger immune capabilities than males, as evidenced by their better immune responses to a variety of self-antigens and non-self-antigens, or vaccination. Paradoxically, the stronger immune response comes at a steep price, which is the high incidence of autoimmune diseases in females. This phenomenon of gender-based immune capability is largely attributed to the effects of sex hormones. Estrogens regulate the level of serum and uterine IgM, IgA, and IgG, and they augment antibody production to several nonself- antigens and self-antigens. It is possible that endocrine disruptors that mimic estrogenic activity may be involved in the increased incidence of autoimmune diseases such as SLE (Yurino H. 2004, Vaishali RM. 2018).

Summary of the AOP

Events

Molecular Initiating Events (MIE), Key Events (KE), Adverse Outcomes (AO)

Sequence	Type	Event ID	Title	Short name
	MIE	1710	Binding to estrogen receptor (ER)-α in immune cells	Binding to estrogen receptor (ER)-α
	KE	1711	Induction of GATA3 expression	Induction of GATA3 expression
	KE	1712	Increase of Th2 cells producing IL-4	Increase of Th2 cells producing IL-4
	KE	1713	Increase of anti-DNA antibody from autoreactive B cell	Increase of autoantibody production
	AO	1714	Exacerbation of systemic lupus erythematosus (SLE)	Exacerbation of SLE

Key Event Relationships

Upstream Event	Relationship Type	Downstream Event	Evidence	Quantitative Understanding
Binding to estrogen receptor (ER)-α in immune cells	adjacent	Induction of GATA3 expression	Moderate	Moderate
Induction of GATA3 expression	adjacent	Increase of Th2 cells producing IL-4	Moderate	Moderate
Increase of Th2 cells producing IL-4	adjacent	Increase of anti-DNA antibody from autoreactive B cell	Moderate	Moderate
Increase of anti-DNA antibody from autoreactive B cell	adjacent	Exacerbation of systemic lupus erythematosus (SLE)	Moderate	Moderate

Stressors

Name	Evidence
Bisphenol A	Moderate
17beta-Estradiol	High

Overall Assessment of the AOP

Domain of Applicability

Life Stage Applicability

Life Stage	Evidence
All life stages	Moderate

Taxonomic Applicability

Term	Scientific Term	Evidence	Links
Homo sapiens	Homo sapiens	Moderate	NCBI

Sex Applicability

Sex	Evidence
Mixed	High

It has long been appreciated that most autoimmune disorders are characterized by increased prevalence in females,

suggesting a potential role for sex hormones (estrogen) in the etiology of autoimmunity. Females generally exhibit a stronger response to a variety of antigens including ER α ligands than males, which is perhaps one reason that they are more prone to develop autoimmune and allergic diseases such as SLE in greater severity than males. Therefore, this AOP is applicable to females and is dependent on the levels of estrogen, which means it varies with life stage, and age.

SLE frequently develop and progress in setting in which sympathoadrenomedullary and gonadal hormone levels are changing, e.g., during pregnancy, the postpartum period, or estrogen administration in menopause (Wilder RL. 1999). Women using oral contraceptives that contain estrogen or undergoing hormone replacement therapy are susceptible to major flare ups and exacerbation of the disease (Whitelaw DA. 2007).

The mechanisms described in this AOP are applicable to rodents and humans, and then the findings of this AOP are not found in any other species. However, Th2 dominant conditions induced by binding to ER α is considered likely to occur in a variety of mammalian species since ER α are expressed in all vertebrates (Eick GN. 2011).

Essentiality of the Key Events

Stressor , MIE and later events:

The NZB/W F1 mouse is the oldest classical model of lupus generated by the F1 hybrid between the NZB and NZW strains. The administration of the estrogen antagonist tamoxifen diminishes immune complex deposition in the kidneys and increases survival in NZB/W F1 strain. Renal disease was evaluated by the development of albuminuria and histological changes in the kidney (Wu WM. 2000). In females of the NZB/NZW F1 strain, disruption of ER α attenuated glomerulonephritis and increased survival and reduced anti-dsDNA antibodies (Bynote KK. 2008, Isenberg DA. 2007) and ovariectomy of NZB/W F1 mice not only delayed onset of the disease but also decreased autoantibody titer. Meanwhile, restoration of estradiol in ovariectomized NZB/W F1 mice reestablished high numbers of autoantibody-producing (DNA-specific) B cells, and thereby suggests a pathogenic role of estrogen in lupus (Daniel P. 2011). Both NZB and NZW display limited autoimmunity, while NZB/W F1 hybrids develop severe lupus-like phenotypes comparable to that of lupus patients. In NZM female mice, ER α inactivation markedly prolonged life-span, lowered proteinuria, and ameliorated glomerulonephritis but resulted in higher serum anti-dsDNA antibody levels (Svenson JL. 2008).

KE1 and later events:

GATA3 mRNA expression has potential to induced IL-4 production in CD4+T cell (Lambert KC. 2005). The differentiation of activated CD4+T cells into the T helper type 1 (Th1) or Th2 fate is regulated by cytokines and the transcription factors T-bet and GATA-3. Early GATA-3 expression, required for Th2 differentiation, was induced by T cell factor 1 (TCF-1) and its cofactor β -catenin, mainly from the proximal Gata3 promoter upstream of exon 1b. TCF-1 blocked Th1 fate by negatively regulating interferon- γ (IFN- γ) expression independently of β -catenin. Thus, TCF-1 initiates Th2 differentiation of activated CD4+T cells by promoting GATA-3 expression and suppressing IFN- γ expression. Higher GATA-3 expression promotes IL-4 production and initiates Th2 differentiation (Qing Y. 2009). GATA-3 mRNA expression also increased in patients with SLE, compared with the healthy control groups (Zheng H. 2015, Sonia GR. 2012).

KE2 and later events:

Administration of mAb against IL-4 before the onset of lupus was effective in preventing the onset of lupus nephritis (Nakajima A. 1997).

KE3 and later events:

In a study to investigate a novel subpopulation of B-1 cells and its roles in murine lupus, anti-double-stranded DNA (anti-dsDNA) autoantibodies were preferentially secreted by a subpopulation of CD5+ B-1 cells that expressed programmed death ligand 2 (L2pB1 cells) (Xuemei Z. 2009). A substantial proportion of hybridoma clones generated from L2pB1 cells reacted to dsDNA. L2pB1 cells are potent antigen-presenting cells and a dramatic increase of circulating L2pB1 cells in lupus-prone BXSB mice correlates with elevated serum titers of anti-dsDNA antibodies (Xuemei Z. 2009).

Weight of Evidence Summary

Biological Plausibility

KER	KE _{up} -KE _{down}	Plausibility	Rationales supported by literatures

KER 1	Binding, Estrogen receptor α in immune cells - Induction, GATA3 expression	Weak	In immune cells, this event is confirmed indirectly; using artificial STAT6-ER fusion protein.
KER 2	Induction, GATA3 expression - Increase, Th2 cells producing IL-4	Strong	XXXX
KER 3	Increase, Th2 cells producing IL-4 - Increase, anti-DNA antibody production from autoreactive B cell	Weak	XXXX
KER 4	Increase, anti-DNA antibody production from autoreactive B cell -	Strong	XXXX

Empirical Support

KER	Empirical support of KERs
MIE=>KE 1 Binding, Estrogen receptor α in immune cells leads to Induction, GATA3 expression	Empirical support of the MIE => KE1 is weak. Rationale MIE: XXX KE XX: XXXX
KE 1=> KE 2: Induction, GATA3 expression leads to Increase, Th2 cells producing IL-4	Empirical support of the KE 1=> KE 2 is strong. Rationale KE XX: XXXX AO: XXXX
KE 2=> KE 3: Increase, Th2 cells producing IL-4 leads to Increase, anti-DNA antibody production from autoreactive B cell	Empirical support of the KE 2=> KE 3 is weak. Rationale KE XX: XXXX AO: XXXX
KE 3=>AO: Increase, antibody production from anti-DNA antibody production from autoreactive B cell leads to Exacerbation, systemic lupus erythematosus (SLE)	Empirical support of the KE 3 => AO is strong. Rationale KE XX: XXXX AO: XXXX

Quantitative Consideration

KER1

CD4⁺T cell expressed GATA3 mRNA cultured with 10^9 M (272.4 pg/mL) concentrations of 17 β -estradiol for 12-16 hr (Lambert KC. 2005).

BPA (0.1 mM) also indirectly induced GATA3 expression of Th cells, and this effect is mediated by dendritic cells exposed to BPA for 24 hr (Guo H. 2010). Naïve Th cells increased GATA3 expression cultured with dendritic cells exposure of BPA (0.1 mM) for 7 days.

KER2

Pre-stimulation 16 hr of 17 β -estradiol (the concentration 10^9 M = 272.4 pg/mL) increased IL-4 secretion from CD4⁺T

cell (Lambert KC. 2005).

KER3□

PBMCs or B cells were cultured for 7 days with 17β -estradiol (10^8 mol/L) and then, IgG and IgM production were increased up to about 150% (PBMC) and 200% (B cells) (Kanda N. 1999).

KER4□

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Appendix 1

List of MIEs in this AOP

[Event: 1710: Binding to estrogen receptor \(ER\)- \$\alpha\$ in immune cells](#)

Short Name: Binding to estrogen receptor (ER)- α

AOPs Including This Key Event

AOP ID and Name				Event Type
Aop:314 - Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)				MolecularInitiatingEvent
Stressors				
Name				
Bisphenol A				
17beta-Estradiol				
Propylpyrazoletriol				
Biological Context				
Level of Biological Organization				
Molecular				
Organ term				
Organ term				
immune system				
Domain of Applicability				
Taxonomic Applicability				
Term	Scientific Term	Evidence	Links	
Homo sapiens	Homo sapiens	High	NCBI	
Mus musculus	Mus musculus	High	NCBI	
Life Stage Applicability				
Life Stage	Evidence			
All life stages	High			
Sex Applicability				
Sex	Evidence			
Mixed				
Since ERα expresses in the cells of a vast variety of (vertebrate) species (Maria B. 2015) and there is common functionality in the immune systems of at least humans and mice, this AOP might be applicable to many mammal species, including humans and rodents. The estrogen receptors are composed of several domains important for hormone binding, DNA binding, dimer formation, and activation of transcription (Green S. 1986, Kumar V. 1986, Warnmark A. 2003). Interspecies sequence identities for the entire ERα are 88.5% (human-mouse), 87.5% (human-rat), and 97.5% (mouse-rat). For the ligand binding domain (ERα-LBD) alone, the interspecies sequence identities are 95.5% (human-mouse), 95.1% (human-rat), and 99.2% (mouse-rat) (White R. 1987). ERα is widely expressed in most tissue types including most immune cells in males and females (Couse JF. 1997, Chelsea C. 2017). The ERs’ expression patterns and functions vary in a receptor subtype, cell- and tissue-specific manner. In the adult human, large-scale sequencing approaches show that ERα mRNA is detected in numerous human tissues, with the highest levels in the uterus, liver, ovary, muscle, mammary gland, pituitary gland, adrenal gland, spleen and heart, and at lower levels in the prostate, testis, adipose tissue, thyroid gland, lymph nodes and spleen (Fagerberg L. 2014, Sayers EW. 2012) (www.ncbi.nlm.nih.gov/UniGene). Estrogen level is higher in women than men. Ordinary estrogen levels in women are 20-30 pg/mL during diestrus, 100-200 pg/mL during estrus, and 5000-10000 pg/mL during pregnancy (Offner H. 2000). Therefore, the influence of ligand binding to ERα in immune cells is expressed more strong in women than men, especially high estrogen level period.				

Key Event Description

ER α is expressed in all vertebrates (Eick GN. 2011). ER α was discovered in the late 1960s and was cloned and characterized in 1985 (Melissa C. 2011). ER α is expressed in a variety of immunocompetent cells, including thymocytes, CD4+ (Th1, Th2, Th17, and Tregs) and CD8+ cells and macrophages (Melissa C. 2011, Salem ML. 2004, Robinson DP. 2014). One study examined ER α expression in resting and activated PBMC subsets and found that ER α was expressed at higher levels in thymocytes, CD4+ T cells than B cells (Melissa C. 2011). ER α is a nuclear hormone transcription factor that classically binds with ligand (stressors), further stabilizing dimers that subsequently bind estrogen response elements (ERE) or non-ERE to transactivate or suppress specific target genes (Parker MG. 1993, Goldstein RA. 1993, Sasson S. 1991, Brandt ME. 1997, Carolyn MK. 2001).

How it is Measured or Detected

The binding affinities of E₂ and BPA for ER α can be confirmed by radio receptor assay, and its dimer dissociation is measured using size exclusion chromatography (Brandt ME. 1997, Takayanagi S. 2006, OECD TG440 [*in vivo*] and TG455 [*in vitro*]). While the binding affinities of PPT for ER α was determined by competitive radiometric binding assays by chemiluminescence (Kraichely DM. 2000, Carlson KE. 1997).

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List of Key Events in the AOP

Event: 1711: Induction of GATA3 expression

Short Name: Induction of GATA3 expression

AOPs Including This Key Event

AOP ID and Name	Event Type
Aop:314 - Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)	KeyEvent

Stressors

Name
17beta-Estradiol
Bisphenol A
4-Hydroxytamoxifen

Biological Context

Level of Biological Organization

Cellular

Organ term

Organ term
immune system

Domain of Applicability

Life Stage Applicability

Life Stage	Evidence
All life stages	

Sex Applicability

Sex	Evidence
Mixed	

Involvement of GATA3 in Th2 cell development through ER is common in humans, rodents, and other mammalian species (Ho IC. 2009). protein sequence conservation between all six vertebrate members (mouse, human, dog, cow,

armadillo, capuchin and opossum) identifies GATA3 as having the highest sequence similarity with both its GATA paralogs and orthologs, suggesting that it may be closest to the ancestral mammalian GATA factor ([Tremblay M. 2018](#)).

Key Event Description

Naïve CD4 T cells can differentiate into several different types of T helpers, and Th2 cells, capable of producing IL-4, IL-5 and IL-13, are involved in humoral immunity against extracellular pathogens and in the induction of asthma and other allergic diseases. It was reported that GATA-3 promotes Th2 responses through three different mechanisms (Zhu J. 2006). Cell fate determination in each lineage requires at least two types of transcription factors: the master regulators (GATA3) as well as the signal transducers and activator of transcription (STAT) proteins (Zhu J. 2010). A direct role in bridging distant regulatory elements has been demonstrated for GATA3 at Th2 cytokine loci (Spilianakis and Flavell, 2004). GATA3 is the Th2 master regulator (Zhu J. 2010, Sung-Yun. 2004, Zhu J. 2004, Zheng W. 1997, Zhang DH. 1997), but it also plays important roles in multiple steps of CD4 T cell development (Ho IC. 2009). GATA3 can act as pioneer factors by initiating local chromatin opening and allowing the recruitment of other transcription factors to regulatory elements (Spilianakis and Flavell, 2004). Th2 differentiation is completely abolished both in vitro and in vivo when GATA3 is conditionally deleted in peripheral CD4 T cells (Zhu J. 2004, Pai SY. 2004). GATA-3 mRNA expression also increased in patients with SLE, compared with the healthy control groups (Zheng H. 2015, Sonia GR. 2012).

How it is Measured or Detected

GATA3 mRNA in CD4 T cells can be detected by Real-time PCR (RT-PCR) (Lambert KC. 2005, Kurata H. 1999, Zhu J. 2001).

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Event: 1712: Increase of Th2 cells producing IL-4

Short Name: Increase of Th2 cells producing IL-4

AOPs Including This Key Event

AOP ID and Name

Event
Type

AOP ID and Name	Event Type
Aop:314 - Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)	KeyEvent
Stressors	
Name	
17beta-Estradiol	
Bisphenol A	
Biological Context	
Level of Biological Organization	
Cellular	
Cell term	
Cell term	
T-helper 2 cell	
Organ term	
Organ term	
immune system	
Domain of Applicability	
Life Stage Applicability	
Life Stage Evidence	
All life stages	
Sex Applicability	
Sex Evidence	
Mixed	
Production of IL-4 from Th2 is common in humans, rodents, and other mammalian species.	
Key Event Description	
In naive CD4+ T cells, T cell expansion shifts toward a Th2 phenotype that produces Th2 cytokines such as IL-4, IL-5, IL-10, and IL-13, thereby increasing antibody production from autoantibody-producing B cells. Th2 cells produce IL-4, IL-5, IL-10, and IL-13, meanwhile Th1 cells produce IL-12, TNF-α, and IFN-γ. During Th2 polarization, IL-4 produced by Th2 cell. IL-12 plays a central role in promoting the differentiation of naive CD4+ T cells into mature Th1 effector cells. Secretion of IL-10 from Th2 has been suggested to downregulate the DC-derived IL-12 production and lead to a Th2 differentiation (Aste-Amezaga M. 1998). Th2 cells produce IL-4, which stimulates B-cells to proliferate, to switch immunoglobulin classes, and to differentiate into plasma and memory cells. The receptor for IL-4 is IL-4Rα, which expresses in B cells. IL-4 also plays an important role in the development of certain immune disorders, particularly allergies and some autoimmune diseases and especially when there is Th2 polarization. Th2 cells from GATA3 and STAT6 knockout animals showed reduction in IL-4 production (Zhu J. 2004, Pai SY. 2004).	
How it is Measured or Detected	
The levels of IL-4 in the cell supernatants were determined by a sandwich enzyme-linked immunosorbent assay (ELISA), cytometric bead array (CBA) kits, or immunoblot analysis (Lee MH. 2003, Huimin Y. 2008, Lee J. 2010), and mRNA levels of IL-4 in the cells were assayed by reverse transcription-polymerase chain reaction (RT-PCR) (Lee MH. 2003, Lee J. 2010).	

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Event: 1713: Increase of anti-DNA antibody from autoreactive B cell

Short Name: Increase of autoantibody production

AOPs Including This Key Event

AOP ID and Name	Event Type
Aop:314 - Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)	KeyEvent

Stressors

Name

17beta-Estradiol
Bisphenol A
Diethylstilbestrol

Biological Context

Level of Biological Organization

Cellular

Cell term

Cell term

B cell

Organ term

Organ term

immune system

Domain of Applicability

Life Stage Applicability

Life Stage Evidence

Life Stage Evidence

All life stages

Sex Applicability**Sex Evidence**

Mixed

Antibody production from B cells is common in humans, rodents, and other mammalian species. Since almost experiment are performed in female, it is considered that this event in SLE are noted more frequently in females.

Key Event Description

The receptor for IL-4 is IL-4R α , which expresses in B cells. IL-4 produced by Th2 stimulates B-cells to proliferate, to switch immunoglobulin classes, and to differentiate into plasma and memory cells. Anti-DNA antibodies are produced from autoreactive B cell. In murine models, addition of estrogen or prolactin can lead to an autoimmune phenotype with an increase in mature high-affinity autoreactive B cells (Daniel P. 2011).

How it is Measured or Detected

[*in vivo* assay]

NZB/W F1 mice are used as model of SLE (Wu WM. 2000). BALB/c R4Ag-gamma 2b transgenic mice are used for evaluation of autoreactive B cells (Peeva E. 2005). These mice are administrated of the estrogen antagonist tamoxifen. Disruption of ER α (Bynote KK. 2008, Isenberg DA. 2007) and ovariectomy of NZB/W F1 mice are used as model of estrogen dysfunction (Daniel P. 2011). Survival and glomerulonephritis of these animals were evaluated.

Using female NZB/WF1 mice, silastic implants containing the powdered form of endocrine disruptors were placed subcutaneously on the back of ovariectomized mice. The implants were left in situ for 3 to 4 months and blood samples were collected periodically, and anti-DNA antibody was measured in ELISA using dsDNA (Yurino H. 2004).

[*in vitro* assay]

The amounts of anti-dsDNA, anti-glomerular antigens (GA), total IgG and IgM in the culture supernatants were measured by ELISA (Kanda N. 1999, Wu WM. 2000, Yurino H. 2004, Gabriela T. 2019, John LS. 2008, Wang Y.1996). Proliferative responses PBMCs or B cells were measured by [3H]-thymidine uptake, and the cell viability was assessed by a trypan blue exclusion test (Kanda N. 1999). Fluorescence activated cell sorting (FACScan) was used for the quantitated of total B cells and CD5+B cells expression in spleen and in peritoneal exudates or B cell subset analysis (Wu WM. 2000, Peeva E. 2005). Plaque forming cell (PFC) assay using autologous bromelain-treated erythrocytes (Br-RBC) was conducted to examine the effect of EDs on autoantibody production by B1 cells (Yurino H. 2004).

Enzyme-linked immunospot (ELISPOT) analysis confirmed a significant increase in the number of high-affinity anti-DNA antibody-secreting B cells in the spleens of E2-treated mice (Bynoe MS. 2000).

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List of Adverse Outcomes in this AOP

Event: 1714: Exacerbation of systemic lupus erythematosus (SLE)

Short Name: Exacerbation of SLE

AOPs Including This Key Event

AOP ID and Name	Event Type
Aop:314 - Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)	AdverseOutcome

Stressors

Name

17beta-Estradiol

Bisphenol A

Biological Context

Level of Biological Organization

Individual

Domain of Applicability

Life Stage Applicability

Life Stage Evidence

All life stages

Sex Applicability

Sex Evidence

Mixed

Exacerbation of SLE is common in humans and rodents, and is considered likely to occur in other animal species, as well. SLE is an autoimmune disease that occurs primarily in women (9:1 compared to men) (Rider V. 2001). SLE is an autoimmune disease that affects predominantly women during reproductive years, and its evolution is altered by hormonal events such as menses, menopause, and especially pregnancy (Luis JJ. 2014). The incidence of SLE is markedly increased in females of child-bearing age (Grainne M. 2013). Th1/Th2 shift is one of the most important immunologic changes during gestation. It is due to the progressive increase of estrogens, which reach peak level in the third trimester of pregnancy. At these high levels, estrogens suppress the Th1-mediated responses and stimulate Th2-mediated immunologic responses. For this reason, Th1-mediated diseases, such as rheumatoid arthritis, tend to improve, while Th2-mediated diseases, such as SLE tend to worsen during pregnancy (Doria A. 2006).

Female MRL/lpr mice that developed lymphadenopathy and a lupus-like disease also exhibited a 50% higher mortality rate than males at 5 months of age. In (NZB×NZW) F1 mice too, females develop signs of SLE several months before males, with severe autoimmune hemolytic anemia, glomerulonephritis, and autoantibodies to single-stranded DNA, doublestranded DNA, and histones (Carlsten H. 1992).

The effects of estrogen receptor signaling on T cells also appear to be dose dependent (Melissa, and Gary 2011). Low serum levels (60-100 pg/mL or 0.26-0.43 nM) of estradiol have been shown to increase Th1 T-cell development in vitro through an ERα mediated mechanism (Maret A. 2003). In contrast of SLE exacerbated by Th2, treatment with low doses of estrogen (25 pg/ml or 0.1 nM) ameliorated autoimmune diseases (multiple sclerosis; MS, rheumatoid arthritis; RA, and experimental autoimmune encephalomyelitis; EAE, etc.) caused by Th1, while high doses (>1000 pg/ml or 4.3 nM), which mimic pregnancy levels, prevented EAE onset polarized T-cells to a Th2 phenotype in the EAE

model (Bebo BF. 2001).

Key Event Description

SLE is an autoimmune disease characterized by overproduction of a variety of anti-cell nuclear and other pathogenic autoantibodies. It is characterized by B-cell hyperactivity, polyclonal hypergammaglobulinemia, and glomerulonephritis as immune complex deposition. Once SLE is suspected, the initial evaluation should include an antinuclear antibody (ANA) test. This is a highly sensitive test, with positive results in about 94% of patients with SLE. However, it also has low specificity, and may be positive in healthy patients. If ANA results show a 1:40 titer or higher, more specific tests should be performed, including measurement of anti-double-stranded DNA (anti-dsDNA), anti-Smith, anti-RNP, anticardiolipin, beta-2 glycoprotein antibodies and lupus anticoagulant; elevated levels of one or more of these biomarkers increase the likelihood of SLE (Nguyet-Cam VL. 2016). In the Systemic Lupus International Collaborating Clinics 2012 classification for SLE, biopsy-proven lupus nephritis plus positive ANA or anti-dsDNA is sufficient to fulfil SLE classification criteria (Bernard T. 2017). SLE is the prototypic multisystem autoimmune disorder with a broad spectrum of clinical presentations encompassing almost all organs and tissues including skin, kidney, heart, lungs, and joints. The pathogenesis of SLE includes both genetic and environmental components with female sex strongly influencing pathogenesis. These factors lead to an irreversible break in immunological tolerance manifested by immune responses against endogenous nuclear antigens (Daniel P. 2011).

It has been determined in a murine model of SLE that ER α is required for disease progression and that ER α deficiency impedes the course of the disease (Bynote KK. 2008). There is increased ER α mRNA expression in PBMCs of SLE patients (Inui A. 2007). It is considered that MIE affect later events and result in SLE.

How it is Measured or Detected

[*in vivo* assay]

Murine lupus models such as New Zealand Black (NZB)×New Zealand White (NZW) F1 (NZB/W F1), NZB.H-2bm12, NZB×SWR F1 (SNF1), MRL.lpr/lpr, and BXSB mice have led to a better understanding of the pathogenic mechanisms of lupus. All of these species of mice develop anti-dsDNA antibody, which is a characteristic of lupus, and die of uremia in early life. Among these murine lupus models, the natural course of NZB/W F1 mice is closer to human lupus than MRL.lpr/lpr and BXSB mice (Zhang DH. 1997, Pai SY. 2004, Daniel P. 2011).

For the disease onset, mice can monitor by proteinuria levels, body weights, blood urea nitrogen and appearance over time. (Gabriela T. 2019, John LS. 2008, Wang Y.1996). The major cause of death in the NZB/W F1 female is chronic glomerulonephritis with heavy mesangial deposits, tubular cast formation, proliferation of glomerular cells, prominent crescent formation, and a significant periglomerular and interstitial monocytic infiltrate. Extraglomerular renal deposits of IgG2a and C3 are present in the peritubular tissue and arterioles, and increase in frequency with age. Histological alterations in the kidney were assessed by Hematoxylin Eosin (H&E) and Periodic acid-Schiff (PAS) staining, expression of IgG and C3 was detected by immunohistochemistry (Gabriela T. 2019, Brian S. 1978).

To examine the relationship between oral contraceptive (OC) use and the development of SLE, analyzed data (1976 - 1990) from the Nurses' Health Study cohort. The questionnaire used to assemble biennially the group sought information on a variety of health conditions and exposures, such as use of OCs, use of post-menopausal hormones (PMH), current and past cigarette smoking habits and other health practices. Incidence of SLE was defined by; 1) strict American College of Rheumatology (ACR) classification criteria (> or = 4 ACR criteria), 2) > or = 4 ACR criteria and any physician's diagnosis, 3) > or = 4 ACR criteria and diagnosis by an ACR-certified rheumatologist, 4) > or = 3 ACR criteria, or 5) diagnosis by a physician even if the patient did not meet the ACR criteria. (Bertsias G. 2012, Sanchez-Guerrero J.1997).

Typical clinical symptoms include combinations of renal disease, swollen joints, skin rash, hematologic disorders, respiratory, and neurologic dysfunction.

Regulatory Significance of the AO

There are concerns about the increase in autoimmune diseases caused by estrogen-like substances, and its accurate in vitro toxicity assessment system is required in international regulations. The OECD has published a revised version of the guidance document on standardized test guidelines for evaluating ED (OECD. 2019).

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Appendix 2

List of Key Event Relationships in the AOP

List of Adjacent Key Event Relationships

Relationship: 2020: Binding to estrogen receptor (ER)- α leads to Induction of GATA3 expression

AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)	adjacent	Moderate	Moderate

Evidence Supporting Applicability of this Relationship

XXXX

Key Event Relationship Description

The hormone binding domain (HBD) of the ER α is required not only for binding ligand but also to form stable homodimers of the protein and mediate transcriptional activation by the receptor. There are two ligand-dependent signaling pathways. One is “classical” and the other is “tethered” pathway. A direct genomic interaction occurs between the ER ligand complex and specific sequences of DNA known as estrogen response elements (ERE) (Parker MG. 1993, Goldstein RA. 1993, Sasson S. 1991, Brandt ME. 1997). Transcriptional activation by ER α is mediated by two distinct activation functions: the constitutively active AF-1 domain, located in the N-terminal domain of the receptor protein, and the ligand-dependent AF-2 domain, located in the C-terminal domain of the receptor protein (Delaunay F. 2000). This is called “classical” signaling pathway. In addition to above classical mechanism, ligand-activated ER α interact with other transcription factor complexes and bind to non-EREs by attaching to other transcription factors and not with ERE directly. (Carolyn MK. 2001). This is also called “tethered” signaling pathway. The transcription factors GATA3 and STAT6 are essential for the establishment and/or maintenance of these interactions (Spilianakis and Flavell, 2004). In the tethered pathway, STAT6-ER fusion protein induce GATA-3 mRNA expression. Furthermore, in mammary gland but not in immune cells, GATA3 and ER α regulate each other and, along with FOXA1, can nucleate a remodeling complex at heterochromatic enhancer regions of ER α target genes, leading to the opening and epigenetic marking of sites for active transcription (Eeckhoutte J. 2007, Kong SL. 2011). Alone, FOXA1 or ER α are not sufficient to fully open the chromatin, supporting a bona fide pioneer activity for GATA3 (Eeckhoutte J. 2007, Kong SL. 2011).

Evidence Supporting this KER

Biological Plausibility

STAT6-ER fusion protein (STAT6:ER) induce expression of GATA-3 mRNAs in presence of 4-Hydroxytamoxifen (4-HT), estrogen analogue (Kurata H. 1999, Zhu J. 2001). Furthermore, A constitutively active form of Stat6 (STAT6VT) introduced GATA3 expression and resulted in both Th2 differentiation and enhanced cell expansion without IL-4 (Zhu J. 2001, Horiuchi S. 2011). CD4 T cells from Stat6-knockout mice are not able to drive Th2 differentiation and cell expansion under ThN conditions with added IL-4 (Zhu J. 2001). Therefore, it is considered that activated STAT6 after ligand-binding to ER α induce GATA3 expression in immune cells.

Empirical Evidence

Expression of GATA3 was induced in T cells treated with E₂ at a concentration of 10⁻⁹ M (272.4 pg/mL) for 12-16 hours (Lambert KC. 2005). In contrast, expression of T-bet was decreased, which means E₂ skew the immune system from a Th1 to a Th2 profile. Stat6:ER Th1 cells expressed significant amounts of both GATA3 mRNAs in a 4-HT-dependent manner (Kurata H. 1999, Zhu J. 2001). Constitutively activated Stat6 (Stat6VT) is primed under null Th cell (ThN) conditions in the absence of human (h)IL-4. The expression level of Gata3 in this primed cells are checked by RT-PCR (Zhu J. 2001).

M12.4.1 cells, transfected with the luciferase reporter gene by inserting three copies of human STAT6 binding site oligonucleotide, are used nuclear extracts and electrophoretic mobility shift assay (EMSA) with 1 μ M 4-HT. STAT6:ER DNA-binding activity is strongly and rapidly (within 1 hr) induced after addition of 4-HT to these cells. BA/F3 cells prepared as the same manner are stimulated with 1 μ M 4-HT for 24 h at 37°C. The cells were harvested and assayed for luciferase activities using a Luciferase Assay Kit (Kamogawa Y. 1998).

Uncertainties and Inconsistencies

The “tethered” pathway is confirmed indirectly using artificial STAT6-ER fusion protein but not endogenous STAT6. It remains unknown whether the “classical” pathway is utilized after binding to ER α in immune cells.

Quantitative Understanding of the Linkage

Response-response relationship

MIE:

XXXX

KE XX:

XXXX

Time-scale

XXXX

Known modulating factors

The Th1/Th2 shift is one of the most important immunologic changes during the menstrual cycle and gestation. Immune activity shifts across the menstrual cycle, with higher follicular-phase Th1 cell activity and higher luteal-phase Th2 cell activity (Tierney KL. 2015). This is due to the progressive increase of estrogens, which reach peak level in the third trimester of pregnancy. At these high levels, estrogens suppress the Th1-mediated responses and stimulate Th2-mediated immunologic responses (Doria A. 2006). The effects of ER α signaling on T cells appear to be estrogen-dose dependent, i.e., low doses of estrogen stimulate a Th1 response, but higher doses promote a Th2 response (Priyanka HP. 2013).

Known Feedforward/Feedback loops influencing this KER

XXXX

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[Relationship: 2021: Induction of GATA3 expression leads to Increase of Th2 cells producing IL-4](#)

AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)	adjacent	Moderate	Moderate

Evidence Supporting Applicability of this Relationship

XXXX

Key Event Relationship Description

Intrachromosomal interactions in the Th2 cytokine locus may form the basis for the coordinated transcriptional regulation of cytokine-encoding genes by the Th2 locus control region (Spilianakis and Flavell, 2004). During Th2 cell differentiation, binding patterns of PcG and TrxG proteins are dynamically changed at the *Gata3* gene locus, and these epigenetic changes result in GATA3 protein upregulation, which consequently induces chromatin remodeling at the Th2 cytokine gene loci, including *Il4*, *Il5*, and *Il13* (Ansel KM. 2006, Horiuchi S. 2011).

Evidence Supporting this KER

Biological Plausibility

Th2 differentiation is completely abolished both in vitro and in vivo when GATA3 is conditionally deleted in peripheral CD4 T cells. Th2 cells from both knockout animals showed reduction in IL-4 production. (Zhu J. 2004, Pai SY. 2004).

The GATA3 expression induced by TNF- α was enhanced in the presence of BPA. However, the T-bet expression did not change when tested at various culture conditions (Guo H. 2010, Uemura Y. 2008). IL-4 may serve multiple roles in the development of lupus: it may enhance autoantibody production via its direct B-cell effects (Ram RS. 2003).

Empirical Evidence

The proliferation of Stat6:ER Th2 cells was enhanced in a dose-dependent manner on days 10 and 31 after polarization by [3 H]thymidine incorporation (the effective concentration of 4-HT was between 0.08 and 2 μ M, and the toxic concentration was greater than 5 μ M) (Kurata H. 1999, Zhu J. 2001). Purified naive T cells were activated and infected with RV-Stat6:ER. The cells were cultured and expanded under Th culture conditions in the presence or absence of 0.3 μ M 4-HT (Research Biochemicals Institute) for 2 weeks starting from days 1, 7, 14, or 21 and the cells were analyzed for cytokine (IL-4) expression by flow cytometer analysis of intracellular cytokine production or cytokine ELISA (Kurata H. 1999, Zhu J. 2001). CD4 T cells from Stat6-knockout mice are not able to drive Th2 differentiation and cell expansion under null Th cell (ThN) conditions with added with IL-4 (Zhu J. 2001).

Th2 differentiation is completely abolished both in vitro and in vivo when GATA3 is conditionally deleted in peripheral CD4 T cells from GATA-3-deficient (FF and FF cre) mice (Sung-Yun. 2004, Zhu J. 2004). Antigen-specific immune response is evaluated with lymphocyte from FF and FF cre mice injected with KLH, and cytokine production was measured by sandwich ELISA (Sung-Yun. 2004).

Quantitative Understanding of the Linkage

The effects of estrogen receptor signaling on T cells also appear to be dose dependent (Cunningham M. 2011). When estrogen levels are low, T cell expansion shift toward a Th1 phenotype that produces IL-12, TNF- α , and IFN- γ .

Response-response relationship

MIE:

XXXX

KE XX:

XXXX

Time-scale

XXXX

Known modulating factors

The Th1/Th2 shift is one of the most important immunologic changes during gestation. This is due to the progressive increase of estrogens, which reach peak level in the third trimester of pregnancy. At these high levels, estrogens suppress the Th1-mediated responses and stimulate Th2-mediated immunologic responses (Doria et al. 2006).

Known Feedforward/Feedback loops influencing this KER

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Relationship: 2022: Increase of Th2 cells producing IL-4 leads to Increase of autoantibody production

AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)	adjacent	Moderate	Moderate

Key Event Relationship Description

During process of B cell maturation, the autoreactive B cell which has high-affinity for DNA are normally silenced by anergy, and activated by stimulation with antigen independent CD40 ligand (CD154) or IL-4 from Th2 cells. The receptor for IL-4 is IL-4Rα, which expresses in B cells. In the development of T-cell dependent antibody producing cells, the interaction between IL-4 and its receptor stimulates B-cells to mature (proliferate, switch immunoglobulin classes). As a result, production of anti-DNA antibody from activated autoreactive B cells is increased.

Evidence Supporting this KER

Biological Plausibility

Lack of ERα, in either male or female mice, did not increase B cell precursors (Smithson G. 1998). Restoration of estradiol in ovariectomized NZB/W F1 mice reestablished high numbers of autoantibody-producing (DNA-specific) B cells, and thereby suggests a pathogenic role of estrogen in lupus (Daniel P. 2011).

Anergic B cells, dsDNA-specific models, can be stimulated by IL-4 specific antibody in vitro, suggesting that they are capable of responding to T-cell-derived signals (Acevedo-Suarez CA. 2005, Noorchashm H. 1999, Mandik-Nayak L. 2000, Eris JM. 1994).

Transfer of either IL-4-stimulated splenocytes from 5-mo-old NZB/W F1 mice into NZB/W F1 mice of the same age enhanced the production of IgG anti-dsDNA Ab. Consistently, administration of mAb against IL-4 before the onset of lupus was effective in preventing the onset of lupus nephritis (Nakajima A. 1997).

Empirical Evidence

The administration of the estrogen antagonist tamoxifen diminishes anti-DNA antibody levels by ELISA as well as decreases percentages of total B cells and CD5+ B cells by FCM (Wu WM. 2000). Tamoxifen blocks estrogen-induced B cell maturation but not survival (Peeva E. 2005). ERα deficiency in (NZB×NZW) F1 female mice downregulated levels of anti-dsDNA IgG antibodies, and the absence of ERα in (NZB×NZW) F1 males resulted in decreased anti-dsDNA antibodies (Bynote KK. 2008).

Uncertainties and Inconsistencies

Estrogen upregulates CD40L (CD154) on T cells from SLE patients (Desai-Mehta A. 1996, Li X. 2006). Anti-CD40L antibodies downregulate CD86 expression on normal and SLE B lymphocytes, blockade of CD86 only diminishes anti-DNA antibody production by SLE B cells (Nagafuchi H. 2003). Moreover, mice overexpressing CD40L develop a lupus-like disease with high levels of antibodies to nuclear antigens, DNA, and histones, as well as glomerulonephritis (Higuchi T. 2002). Activation of autoreactive B cell may be involved in stimulation not only IL-4, but also CD40 ligand

(CD154) of Th2 cell as well as the other immune cells.

B1 cells from aged mice exhibited increased expression of ER α mRNA compared to young mice (Yurino H. 2004). Since the ER of B cell is also expressed, there may be a direct route that does not go through Th2.

Quantitative Understanding of the Linkage

Response-response relationship

MIE:

XXXX

KE XX:

XXXX

Time-scale

XXXX

Known modulating factors

XXXX

Known Feedforward/Feedback loops influencing this KER

XXXX

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Relationship: 2023: Increase of autoantibody production leads to Exacerbation of SLE

AOPs Referencing Relationship

AOP Name

Adjacency

Weight of
Evidence

Quantitative
Understanding

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
Binding to estrogen receptor (ER)-α in immune cells leading to exacerbation of systemic lupus erythematosus (SLE)	adjacent	Moderate	Moderate
Evidence Supporting Applicability of this Relationship			
XXXX			
Key Event Relationship Description			
The presence of many autoantibodies is a hallmark of SLE. In particular, autoantibodies directed to double-stranded DNA (dsDNA) are characteristic (Isenberg DA. 2007). SLE patients appear to produce significant amounts of the anti-dsDNA autoantibodies that cause the disease. Anti-dsDNA antibody exists even in healthy people, but in SLE patients, an increase in anti-dsDNA antibody has been observed and is also used for definitive diagnosis of SLE. Activation of autoantibody-producing B cells only serves to exacerbate that condition.			
Evidence Supporting this KER			
Biological Plausibility			
SLE has been seen to flare up during pregnancy (Petri M. 1991). The aberrant T cell dysfunction in SLE is also associated with high levels of autoantibodies (Crispin JC. 2010). Premenopausal women receiving low estrogen containing birth control pills did not have an increased flare rate compared to women receiving placebo suggesting that adding estrogen to an already high estrogen state had no effect on disease (Buyon JP. 1996).			
Empirical Evidence			
In a study to investigate a novel subpopulation of B-1 cells and its roles in murine lupus, anti-double-stranded DNA (anti-dsDNA) autoantibodies were preferentially secreted by a subpopulation of CD5+ B-1 cells that expressed programmed death ligand 2 (L2pB1 cells) (Xuemei Z. 2009). A substantial proportion of hybridoma clones generated from L2pB1 cells reacted to dsDNA. L2pB1 cells are potent antigen-presenting cells and a dramatic increase of circulating L2pB1 cells in lupus-prone BXSB mice correlates with elevated serum titers of anti-dsDNA antibodies (Xuemei Z. 2009).			
Uncertainties and Inconsistencies			
Stat6-deficient New Zealand Mixed (NZM) 2328 mice display a significant reduction in incidence of kidney disease, with a dramatic increase in survival, despite the presence of high levels of anti-dsDNA Abs same like the wild-type NZM 2328 animals (Chaim O. 2003). In NZM 2410 mice, STAT6 deficiency or anti-IL-4 Ab treatment decreases type 2 cytokine responses and ameliorates kidney disease, particularly glomerulosclerosis, despite the presence of high levels of IgG anti-dsDNA Abs same like the wild-type littermates or PBS-treated controls (Ram RS. 2003). Anti-dsDNA antibodies are not what we think they are, as they may be antibodies operational in quite different biological contexts, although they bind dsDNA by chance. This may not mean that these antibodies are not pathogenic but they do not inform how they are so (Ole PR. 2019). In other words, might be that the high levels of anti-dsDNA Abs does not always exacerbate SLE.			
Quantitative Understanding of the Linkage			
Response-response relationship			
The effects of estrogen receptor signaling on T cells also appear to be dose dependent (Cunningham M. 2011). When estrogen levels are low, T cell expansion shift toward a Th1 phenotype that produces IL-12, TNF-α, and IFN-γ. This response results in cellular immunity inducing inflammation and exacerbating cellular type autoimmune diseases (multiple sclerosis; MS, rheumatoid arthritis; RA, and experimental autoimmune encephalomyelitis; EAE, etc.) caused by Th1 rather than SLE. Treatment with low serum levels (60-100 pg/mL or 0.26-0.43 nM) of estradiol increased Th1 T-cell development in vitro by acting through an ERα mediated mechanism (Maret A. 2003). Treatment with low doses of estrogen (25 pg/ml or 0.1 nM) ameliorated autoimmune diseases caused by Th1, while high dose levels (>1000 pg/ml or 4.3 nM), which mimic pregnancy levels, prevented EAE onset and polarized T-cells to a Th2 phenotype in the EAE. (Bebo BF. 2001, Korn-Lubetzki I. 1984).			
Time-scale			
XXXX			
Known modulating factors			
The Th1/Th2 shift is one of the most important immunologic changes during the menstrual cycle and gestation.			

Immune activity shifts across the menstrual cycle, with higher follicular-phase Th1 cell activity and higher luteal-phase Th2 cell activity (Tierney KL. 2015). This is due to the progressive increase of estrogens, which reach peak level in the third trimester of pregnancy. At these high levels, estrogens suppress the Th1-mediated responses and stimulate Th2-mediated immunologic responses (Doria A. 2006). Incidence of flare in patients with SLE is increased during pregnancy and within the 3-months postpartum (Amanda E. 2018).

Known Feedforward/Feedback loops influencing this KER

XXXX

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