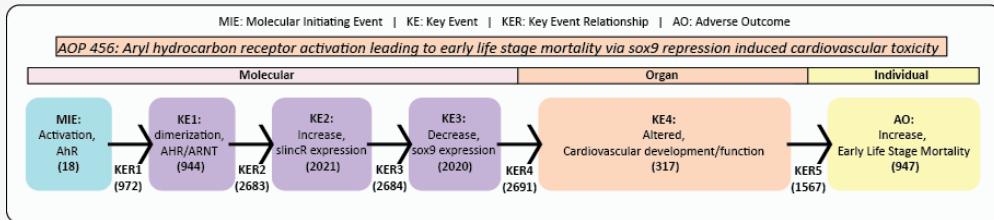


## AOP ID and Title:

AOP 456: Aryl hydrocarbon receptor activation leading to early life stage mortality via *sox9* repression induced cardiovascular toxicity  
**Short Title:** Ahr mediated early stage mortality via cardiovascular toxicity

## Graphical Representation



## Authors

Prarthana Shankar, Ph.D., US EPA Mid-Continent Ecology Division, Duluth, MN, USA (pshankar@usgs.gov)

Dan Villeneuve, Ph.D., US EPA Mid-Continent Ecology Division, Duluth, MN, USA (villeneuve.dan@epa.gov)

## Status

<b>Author status</b>	<b>OECD status</b>	<b>OECD project</b>	<b>SAAOP status</b>
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Under development: Not open for comment. Do not cite

## Abstract

The Aryl Hydrocarbon Receptors (AhRs) are evolutionarily conserved ligand-dependent transcription factors that are activated by structurally diverse endogenous compounds as well as environmental chemicals such as polycyclic aromatic hydrocarbons and halogenated aromatic hydrocarbons. Ahr activation leads to several transcriptional changes that can cause developmental toxicity resulting in mortality. Evidence was assembled and evaluated for a novel adverse outcome pathway (AOP) which describes how Ahr activation (molecular initiating event; MIE) can lead to early-stage mortality (adverse outcome; AO), via *SOX9*-mediated cardiovascular toxicity. Using a key event relationship (KER)-by-KER approach, we collected evidence using both a narrative search, and through systematic review based on detailed search terms. Weight of evidence for each KER was assessed to inform overall confidence of the AOP. The AOP links to previous descriptions of Ahr activation (ex: AOPs 21 and 150), and connect them to two novel key events (KEs), increase in *slincR* expression, a newly characterized long non-coding RNA with regulatory functions, and suppression of *SOX9*, a critical transcription factor implicated in chondrogenesis and cardiac development. In general, confidence levels for KERs ranged between medium and strong, with few inconsistencies, as well as several opportunities for future research identified. While majority of the KEs have only been demonstrated in zebrafish with 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD) as an Ahr activator, evidence suggests that the two AOPs likely apply to most vertebrates, and many Ahr activating chemicals. Addition of the AOP into the AOP-Wiki helps expand the growing Ahr-related AOP network to nineteen individual AOPs, of which six are endorsed or in progress, and the remaining 13 relatively underdeveloped.

## Background

<<<<The key events (KEs) associated with AOPs 455 and 456 are predominantly similar, with the exception of KE4 in each AOP. KE4 in AOP 455 is designated an AO and is Event 1559: "Facial cartilage structures are reduced in size and morphologically distorted", and KE4 in AOP 456 is Event 317: "Altered, Cardiovascular development/function." While AOP 456 may be of higher biologically relevance, both AOPs are ecologically important and contribute significantly to the growing network of AOPs beginning with the activation of the Aryl hydrocarbon receptor (Ahr). Since both AOPs have several overlapping KEs, some redundant text is to be expected in the individual AOP-Wiki pages.>>>>

**The Aryl Hydrocarbon Receptor (AhRs)** are evolutionarily conserved ligand-dependent transcription factors that can be activated by a wide range of structurally diverse compounds (Denison and Nagy 2003; Hahn et al. 2017). The AhRs have critical physiological roles in normal development of both vertebrates and invertebrates, and several endogenous Ahr ligands, such as retinoic acid and metabolites of tryptophan, have been identified (Esteban et al. 2021; Nguyen and Bradfield 2008). In addition, Ahr activation by environmental pollutants including halogenated aromatic hydrocarbons (HAHs), polycyclic aromatic hydrocarbons (PAHs), and polychlorinated biphenyls (PCBs) can lead to a variety of adverse health effects, such as dysfunction to the immune, reproductive, and cardiovascular systems (Hansen et al. 2014; Hernandez-Ochoa et al. 2009; Stevens et al. 2009; Zhang 2011), as well as improper development and neurobehavior (Garcia et al. 2018a). Ahr activation is also associated with tumor promotion and carcinogenesis (Safe et al. 2013). Several studies in model organisms such as zebrafish and rodents have shown that Ahr-deficient animals in gene knock-out studies have either diminished or no harmful effects from exposure to Ahr activating environmental pollutants (Fernandez-Salguero et al. 1996; Garcia et al. 2018a; Goodale et al. 2015; Harrill et al. 2016), highlighting the significance of the receptors in mediating toxicity of Ahr-active chemicals.

**2,3,7,8-Tetrachlorodibenzo-p-dioxin (TCDD)**, a bioaccumulative and highly toxic HAH, is typically used as the prototypical molecular probe to investigate Ahr-related outcomes and is thus one of the most thoroughly investigated of the known Ahr agonists. One notable difference between dioxins such as TCDD, and labile PAHs for example, is that TCDD exposure leads to prolonged and continuous receptor activation, which is different from PAH-induced transient receptor activation that is generally considered an adaptive response. However, significant dioxin-like toxicity, including generation of oxidative stress, has been demonstrated in several organisms exposed to PAHs. Toxicity is generally attributed to the generation of harmful reactive metabolites, or from environmentally relevant chronic PAH exposures that can induce sustained Ahr activation (Billiard et al. 1999). Further, any differences in Ahr-dependent toxicity among species is likely because of the presence of multiple Ahr isoforms, in combination with their differential binding affinities to a specific chemical (Doering et al. 2013; Doering et al. 2018; Karchner et al. 2006). Regardless, it is widely accepted that upon activation of the AhRs, a cascade of complex molecular events ensues, leading to crosstalk signaling and pathophysiological effects. While several possible lesser-understood signaling pathways exist (Sondermann et al. 2023; Wright et al. 2017), the most widely described and major signaling route is the canonical Ahr signaling pathway.

**Canonical Ahr signaling** involves the conversion of the inactive Ahr, which is present in the cytoplasm, to its active form that can translocate to the nucleus and dimerize with the Ahr nuclear translocator (ARNT) (Wright et al. 2017). The Ahr-ARNT heterodimer can consequently regulate transcription of several downstream genes either indirectly, or directly, which is the case for the cytochrome P450s (*CYPs*) that are induced via the direct binding of the heterodimer to the aryl hydrocarbon response elements (Ahres, or XREs or DREs) (Lo and Matthews 2012). To help organize the complexity of the concurrent regulation of 1000s of genes by the Ahr signaling pathway, as well as consequent toxicity effects, scientists have begun to organize existing evidence in the form of Adverse Outcome Pathways (AOPs) (Ankley et al. 2010) and AOP networks (Knapen et al. 2018). There are currently nineteen Ahr-related AOPs in the AOP-Wiki (as of April 10<sup>th</sup>, 2023; [aopwiki.org](http://aopwiki.org)), with six AOPs included in The Organization for Economic Co-operation and Development's (OECD) Work Plan that are open for comments, and the remaining 13 relatively under developed. With the rapid rate at which new research on Ahr-mediated toxicity is being conducted, there is still extensive scope for assembly of existing and novel biological data into actionable knowledge that can support decision-making around Ahr-related environmental effects and disease outcomes.

Besides being highly relevant and important toxicity phenotypes in both humans and other vertebrates, both craniofacial malformations and cardiovascular toxicity are easily observable and measurable in zebrafish, and have been identified upon exposure to various Ahr activating environmental chemicals (Antkiewicz et al. 2005; Henry et al. 1997; Li et al. 2014). Importantly, developing zebrafish exposed to TCDD have severe heart and vasculature malformations, in addition to jaw structure impairments that occur secondarily to inhibited chondrogenesis (Carney et al. 2006). One of the genes whose expression is most reduced in the jaw upon TCDD exposure in zebrafish is ***sox9b, sry-box containing gene 9b*** (Xiong et al. 2008). This gene, one of two zebrafish paralogs of the *SOX9* gene, is a critical transcription factor that has been implicated in several processes including chondrogenesis and cardiac development, in addition to skeletal development, male gonad genesis, and cancer progression (Lefebvre and Dvir-Ginzberg 2017; Panda et al. 2021). Based on current knowledge, primarily from developmental zebrafish studies, it is apparent that there are strong relationships between Ahr, SOX9, and craniofacial (AOP 455) or cardiovascular (AOP 456) malformations that can be causally linked in an AOP network.

The two AOPs also provide weight of evidence for the inclusion of a novel **long non-coding RNA (lncRNA)** as a key event. LncRNAs are transcripts longer than 200 nucleotides that do not encode functional proteins, but have their own promoters and the ability to be processed (spliced and polyadenylated) similar to mRNAs (Mattick et al. 2023). The nature of lncRNAs is such that they have diverse functions and can regulate gene expression at multiple levels, including by interacting with DNA, RNA, proteins, and altering transcription of both neighboring and distant genes (Statello et al. 2021). Importantly, there is growing recognition for the link between exposure to chemicals, differential expression profiles of lncRNAs, and consequent toxicity (Dempsey and Cui 2017). Specific to the proposed AOPs, evidence suggests an important role for the recently discovered lncRNA, “*sox9b long intergenic non-coding RNA*” (*slincR*) in the Ahr signaling toxicity pathway via its interaction with the transcription factor, SOX9 (Garcia et al. 2017). Thus, the ability of SOX9 to interact with Ahr signaling, paired with its functional versatility, implicates it as a critical player in the Ahr toxicity pathway, by mediating disruptions to both craniofacial and cardiovascular development.

## Summary of the AOP

### Events

#### Molecular Initiating Events (MIE), Key Events (KE), Adverse Outcomes (AO)

Sequence	Type	Event ID	Title	Short name
	MIE	18	<a href="#">Activation, AhR</a>	Activation, AhR
	KE	944	<a href="#">dimerization, AHR/ARNT</a>	dimerization, AHR/ARNT
	KE	2021	<a href="#">Increase, slincR expression</a>	Increase, slincR expression
	KE	2020	<a href="#">Decrease, sox9 expression</a>	Decrease, sox9 expression
	KE	317	<a href="#">Altered, Cardiovascular development/function</a>	Altered, Cardiovascular development/function
	AO	947	<a href="#">Increase, Early Life Stage Mortality</a>	Increase, Early Life Stage Mortality

## Key Event Relationships

Upstream Event	Relationship Type	Downstream Event	Evidence	Quantitative Understanding
<a href="#">Activation, AhR</a>	adjacent	dimerization, AHR/ARNT	High	Moderate
<a href="#">dimerization, AHR/ARNT</a>	adjacent	Increase, <i>slincR</i> expression	Moderate	Moderate
<a href="#">Increase, <i>slincR</i> expression</a>	adjacent	Decrease, <i>sox9</i> expression	Moderate	Moderate
<a href="#">Decrease, <i>sox9</i> expression</a>	adjacent	Altered, Cardiovascular development/function	Moderate	Moderate
<a href="#">Altered, Cardiovascular development/function</a>	adjacent	Increase, Early Life Stage Mortality	High	Low
<a href="#">Activation, AhR</a>	non-adjacent	Decrease, <i>sox9</i> expression	High	Low
<a href="#">Increase, <i>slincR</i> expression</a>	non-adjacent	Altered, Cardiovascular development/function	Moderate	Moderate
<a href="#">Activation, AhR</a>	non-adjacent	Increase, Early Life Stage Mortality	High	Moderate
<a href="#">Activation, AhR</a>	non-adjacent	Altered, Cardiovascular development/function	High	Low

## Stressors

Name	Evidence
2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD)	

## Overall Assessment of the AOP

See details below.

### Domain of Applicability

#### Life Stage Applicability

Life Stage	Evidence
Embryo	High
Development	High

#### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
zebrafish	<i>Danio rerio</i>	High	<a href="#">NCBI</a>
mouse	<i>Mus musculus</i>	Low	<a href="#">NCBI</a>
human	<i>Homo sapiens</i>	Low	<a href="#">NCBI</a>
chicken	<i>Gallus gallus</i>	Low	<a href="#">NCBI</a>

#### Sex Applicability

Sex	Evidence
Unspecific	High

#### Life Stage and Sex

The relationships between Ahr, Arnt, *slincR* and *sox9b*, and cardiac and craniofacial malformations have been well established in developing zebrafish, specifically as embryos, and thus sex is not a relevant parameter.

#### Taxonomic

Evidence gathered suggests that the domain of applicability covers most vertebrates, from fish to humans and other wildlife. It is important to highlight that while all the relationships within the AOP have been observed definitively in one species (*Danio rerio*), there is strong evidence for specific KERs in species other than zebrafish. For example, Ahr's evolutionarily conserved role as a

master regulator of toxicity of several environmental pollutants has been shown in animals including fish, birds, rodents, and humans (Hahn et al. 2017). Another example is SOX9's highly conserved role as a critical transcriptional factor in craniofacial and cardiac development in animals such as fish, rodents, and amphibians (Garside et al. 2015; Lee and Saint-Jeannet 2011). While there is strong evidence for Ahr activation leading to *sox9b* repression in developing zebrafish, this relationship has been identified in other fish species such as white sturgeon and Atlantic salmon (Doering et al. 2016; Olufsen and Arukwe 2011). Additionally, while *slincR* has only been described in zebrafish so far, it is worth noting that putative mammalian orthologs have been identified (Garcia et al. 2018b), increasing the possibility that the zebrafish-specific results can be translated to other organisms. Cardiovascular toxicity is a significant complication when different vertebrates are exposed to Ahr-dependent chemicals such as TCDD and many PAHs. This cardiotoxicity can consequently lead to edema and embryonic death in both fish and birds (Elonen et al. 1998; Heid et al. 2001), suggesting the broad relevance of the cellular and molecular events between Ahr activation and defects to the cardiovascular system. Thus, the different lines of evidence suggest that the taxonomic domain of applicability for the two proposed AOPs can likely cover most vertebrates.

## Essentiality of the Key Events

Direct evidence for the essentiality of several of the key events in the AOP has been provided by gene modification and knockout studies of the Ahr, *slincR*, and *sox9b* (one of two orthologs of SOX9) genes in zebrafish. Highlights of the most important studies are provided here:

Event ID	Key Event	Evidence	Essentiality/Assessment
18	Activation, AhR	Strong	<ol style="list-style-type: none"> <li>1. Several studies in model organisms such as zebrafish and rodents have shown that Ahr-deficient animals in gene knock-out studies have either diminished or completely nonexistent harmful effects of both TCDD and several PAHs, including cardiovascular toxicity (Fernandez-Salguero et al. 1996; Garcia et al. 2018a; Goodale et al. 2015; Harrill et al. 2016).</li> <li>2. Ahr2 knock-out in zebrafish with 1ng/mL TCDD exposure had significantly diminished <i>slincR</i> expression at 48 hpf (Garcia et al. 2017).</li> <li>3. Ahr2 knockout zebrafish with 1ng/mL TCDD exposure did not have significantly reduced <i>sox9b</i> expression at 48 hpf (Garcia et al. 2018a).</li> </ol>
944	Dimerization, AHR/ARNT	Strong	Canonical Ahr signaling involves the conversion of the inactive Ahr, which is present in the cytoplasm, to its active form that can translocate to the nucleus and dimerize with the Ahr nuclear translocator (ARNT) (Wright et al. 2017). Evidence suggests that the Ahr/ARNT heterodimer can consequently regulate gene expression within the Ahr signaling cascade.
2021	Increase, <i>slincR</i> expression	Strong	<ol style="list-style-type: none"> <li>1. When <i>slincR</i> expression is knocked down using a morpholino, normal <i>sox9b</i> expression levels and spatial pattern are altered during zebrafish development (Garcia et al. 2017). Specifically, in <i>slincR</i> morphants exposed to DMSO or TCDD, <i>sox9b</i> expression was significantly higher than in control morphant zebrafish.</li> <li>2. When <i>slincR</i> expression is knocked down using a morpholino, several downstream target genes of <i>sox9b</i>, such as, <i>notch3</i>, <i>adamts3</i>, <i>fabp2</i>, <i>sfrp2</i>, and <i>fgfr3</i> were altered in their gene expression compared to control morphants (Garcia et al. 2017).</li> <li>3. <i>slincR</i> zebrafish morphants have a significantly lower percent incidence of blood hemorrhaging at 48 hpf (Garcia et al. 2018b), suggesting <i>slincR</i>'s role in cardiovascular functional toxicity caused due to TCDD exposure.</li> </ol>
2020	Decrease, <i>sox9</i> expression	Strong	<ol style="list-style-type: none"> <li>1. <i>Sox9b</i> expression inhibited by a dominant negative specifically in the cardiomyocytes resulted in significant alterations to cardiovascular function (end diastolic volume, decrease in stroke volume, ejection fraction, and cardiac output were all altered) (Gawdzik et al. 2018). Additionally, epicardium formation was disrupted as well. The study also highlighted the significant decrease of expression of several cardiac development genes such as <i>nkx2.5</i>, <i>nkx2.7</i>, <i>myl7</i>, and <i>c-fos</i>.</li> </ol>

			<ol style="list-style-type: none"> <li>2. Sox9b morpholino knockdown in zebrafish led to several structural and functional deformities including pericardial edema, elongated heart, and reduced blood circulation (Hofsteen et al. 2013).</li> <li>3. Mutations in the sox9 gene locus as well as in the gene regulatory region in humans have been associated with chronic and congenital heart diseases (Gong et al. 2022; Sanchez-Castro et al. 2013).</li> <li>4. Multiple studies investigating the impact of the loss of sox9 in mice, including one conditional inactivation study in endothelial cells and another using cre-lox generated sox9 mutants, show the significant effects on cardiovascular development and functioning in the absence of sox9 (Akiyama et al. 2004; Lincoln et al. 2007).</li> </ol>	
317	Altered, Cardiovascular development/function	Strong	Cardiovascular toxicity has been shown to cause early life stage mortality. This relationship has been discussed in depth in AOP 21 ( <a href="https://aopwiki.org/aops/21">aopwiki.org/aops/21</a> ) (peer-reviewed and endorsed).	
947	Increase, Early Life Stage Mortality	N/A	This is the terminal key event in the AOP and hence its essentiality for downstream events cannot be evaluated.	

## Weight of Evidence Summary

### Biological Plausibility

- Ahr – strong: Strongest Biological Plausibility evidence for AOPs 455 and 456 comes from our extensive understanding of the Ahr signaling pathway in multiple different organisms. The functional roles of Ahr and its binding partners, including ARNT, have been well-studied (Fujii-Kuriyama and Kawajiri 2010), and it is well known that the Ahr signaling pathway mediates a variety of physiological and toxicological functions (Larigot et al. 2018).
- slincR and sox9 – strong: Strong evidence for the Biological Plausibility of *slincR* having a role in AOPs 455 and 456 comes from the nature of lncRNAs which is such that they have diverse functions and can regulate gene expression at multiple levels, including by interacting with DNA, RNA, proteins, and altering transcription of both neighboring and distant genes (Statello et al. 2021). Additionally, *slincR* (in situ hybridization) and *sox9b* (immunohistochemistry for *sox9b-eGFP*) are expressed in adjacent and overlapping tissues through multiple stages of zebrafish development, such as in the eye, otic vesicle, and in the lower jaw (Garcia et al. 2017) providing one line of evidence for *slincR* being able to regulate *sox9b* gene expression. Further, a capture hybridization analysis of RNA targets (CHART) experiment in both DMSO- and TCDD-exposed 48 hpf zebrafish identified enrichment of *slincR* in the 5'UTR of the *sox9b* locus (Garcia et al. 2018b) pointing to possible interaction between *slincR* and *sox9b*.
- slincR and cardiovascular development - strong: Individual zebrafish exposures to the PAHs, retene, dibenzo[a,h]pyrene, and dibenzo[a,i]pyrene cause cyp1a vascular expression as well as a significant induction of *slincR* at 48 hours post fertilization (hpf) (Garcia et al. 2018b; Geier et al. 2018), suggesting the possibility of *slincR* involved in some aspect of cardiovascular function. Knockdown of *slincR* expression in developing zebrafish, alters expression of *sox9b*, as well as certain downstream targets of *sox9*, such as *notch3*, *adamts3*, *fabp2*, *sfrp2*, and *fgfr3* (Garcia et al. 2017). These are different lines of Biological Plausibility evidence for *slincR* being a mediator between Ahr activation and craniofacial/cartilage malformations.
- Sox9 and cardiovascular development - strong: Strong Biological Plausibility evidence for *sox9*'s role in cardiovascular dysfunction comes from one study where zebrafish exposed to 1ng/mL TCDD had significantly reduced *sox9b* expression in the heart of the developing animals (Hofsteen et al. 2013). Backing up this evidence, several studies in different organisms including rodents, chicken, frog, and fish have identified both *sox9* mRNA and protein spatiotemporal expression in the developing hearts (please see KER page 2691 for references). In addition, a chip-seq experiment showed that the *sox9* protein has been found to interact with the genomic regions of proliferation genes as well as important transcription factors involved in mouse heart development (Garside et al. 2015), making it conceivable that the loss of *sox9* can have a significant impact on cardiovascular development.
- Cardiovascular dysfunction and early-stage mortality - strong: Biological plausibility of this KER is considered high because the relationship between cardiovascular toxicity and early mortality has been demonstrated in several species including fish and birds (Kopf and Walker 2009). Note that this KER is already included in the AOP-Wiki as part of AOP 21 (peer-reviewed and endorsed; (Doering et al. 2019) with abundant evidence listed.

### Dose Concordance

- Ahr activation leading to early life stage mortality has been well-studied. The KER page (<https://aopwiki.org/relationships/984>)

has examples in difference species for empirical evidence for this relationship.

- Strongest evidence for dose concordance between Ahr activation, *slincR* induction, and *sox9* repression comes from a developing zebrafish study that utilized TCDD as the Ahr activating chemical. The concentration-response experiment showed that *cyp1a* (biomarker for Ahr activation) and *slincR* expression increased in parallel as TCDD exposure concentration increased, and that *cyp1a* and *slincR* are induced at TCDD exposure concentrations lower than concentrations at which *sox9b* is repressed (Garcia et al. 2018b).
  - Both *cyp1a* and *slincR* were significantly induced starting at 0.0625 ng/mL TCDD exposure.
  - Significant *cyp1a* ( $\sim\log_{2}FC = 6$ ) and *slincR* ( $\sim\log_{2}FC = 2$ ) inductions were detected at 0.0625 ng/mL TCDD, while significant *sox9b* repression ( $\sim\log_{2}FC = -1$ ) was detected only at 0.5ng/mL TCDD.
- (Garcia et al. 2018b) also showed that with increasing concentrations of TCDD, the severity of overall developmental malformations, including pericardial edema (indicator of potential cardiotoxicity) and jaw malformations increased.
- Strong dose concordance has been determined between cardiovascular malformations and early life stage mortality (please see KER page: <https://aopwiki.org/relationships/1567>), however, to the best of our knowledge, no systematic effort has been performed to identify “dose concordance” evidence for the KER between craniofacial malformations and early life stage mortality.

#### Uncertainties, inconsistencies, data gaps

While we have listed out various possible uncertainties, inconsistencies, and data gaps in the respective KER pages, here we highlight the most important ones:

- One possible inconsistency in the literature is that not all ARNT isoforms in a particular species (for example, zebrafish) are important for mediating *in vivo* toxicity (Prasch et al. 2004), and future research could help clarify the relative influence of the different Ahr binding partners. The most well-studied Ahr binding partner is ARNT and it does appear to be important for TCDD toxicity – hence it is included as a KE in AOPs 455 and 456.
- While the relationships in AOPs 455 and 456 have been definitively shown with TCDD as the activating chemical, future research must investigate the KERs with other Ahr activators, such as PAHs and other HAHs. Similarly, future research in organisms other than zebrafish, will add significantly to the weight of evidence for AOPs 455 and 456.
- One inconsistency comes from a study exposing 16 individual PAHs to developing zebrafish where none were associated with a significant decrease in *sox9b* expression, despite six inducing both *cyp1a* and *slincR* expression (Garcia et al. 2018b). It is possible that the PAHs that are rapidly metabolized (unlike TCDD) induce different gene expression changes upon Ahr activation, or that the *slincR/sox9b* gene expression alterations are tissue-specific and are thus unable to be resolved consistently in whole animal transcriptomic studies.
- Morpholino knockdown of *sox9b* in zebrafish led to a significant increase in *slincR* expression suggesting that *slincR* and *sox9b* may share overlapping regulatory networks that is not fully understood (Garcia et al., 2018).
- We note that *slincR* is not the only mechanism of regulation of *sox9*. Other studies have found evidence for different regulatory mechanisms of *sox9*, but the circumstances under which different pathways are turned on is still unknown (Dash et al., 2021; Fu et al., 2018).
- Impact of absence of *slincR* has only been studied with morpholino knockdown experiments (Garcia et al., 2017; Garcia et al., 2018), which have two relevant drawbacks: 1. Inability to maintain *slincR* repression by 72 hpf since morpholinos are transient in nature, and 2. Incomplete functional knockout which prevents us from understanding the true impact of the absence of *slincR*. Future studies using CRISPR-Cas-generated knockout lines, for example, will help overcome both limitations.
- Worth noting that not all chemicals that induce developmental cardiovascular toxicity induce *sox9* expression. For example, developmental zebrafish exposed to the fungicide, procymidone, significantly increased *sox9b* expression despite the fish having significant pericardial edema (Wu et al., 2018).
- One study investigated *sox9b* expression (on a microarray) in heart tissue from zebrafish exposed to 1ng/mL TCDD and did not detect *sox9b* repression, despite the same study identifying *sox9b* repression in the zebrafish jaws (Xiong et al. 2008). The resolution of the microarray experiment might not have been good enough to detect *sox9b* repression which has been identified in other studies (Hofsteen et al., 2013).

#### Quantitative Consideration

Strongest quantitative understanding for the AOPs 455 and 456 is between the MIE (Activation, Ahr) and the AO (Increase, Early Life Stage Mortality) and is described in detail in the KER page (Event 984; <https://aopwiki.org/relationships/984>). Additionally, for the halogenated aromatic hydrocarbons (HAHs), we have a moderate quantitative understanding of the binding affinity of the different chemicals to the Ahr which partially led to the widespread use of the toxic equivalency factor (TEF) concept for humans, fish, and other wildlife risk assessment (Van den Berg et al. 1998). On the other hand, models that currently exist for chemicals such as the polycyclic aromatic hydrocarbons (PAHs) are often considered oversimplified due to the possible differences in receptor binding affinity and consequent differential metabolism and toxicity (Billiard et al. 2008). Nevertheless, we highlight that TCDD has been identified as the prototypical stressor for both AOPs 455 and 456, and the TEF concept could be leveraged to determine total toxic equivalencies (TEQs) for dioxin-like chemicals based on the known concentrations at which TCDD can induce different key events of the AOPs.

The presence of two measurable gene expression events (*SOX9* and *slincR*) as well as easily observable zebrafish toxicity phenotypes in AOPs 455 and 456 has given opportunity for the beginning of our quantitative understanding of the pathways. Garcia et al (Garcia et al. 2018b) conducted a TCDD concentration-response experiment (0 – 1.0 ng/mL) in developing zebrafish and determined that after just 1 h of exposure at 6 hpf, the number of zebrafish with malformations in the developing jaw and pericardial

edema was statistically significant at 0.25 ng/mL TCDD. The study also measured *cyp1a*, *sllncR*, and *sox9b* expression, and showed significant *cyp1a* (a measure of Ahr activation) and *sllncR* induction from 0.0625 ng/mL, and a trend for *sox9b* repression from 0.125 ng/mL which was significant from 0.5 ng/mL TCDD exposure compared to the DMSO vehicle control. Additionally, *sllncR* morpholino knockdown which reduced *sllncR* expression by 98% in control animals, and by 81% in TCDD-exposed zebrafish compared to their respective control morphants (Garcia et al. 2017) significantly altered *sox9b* spatial and quantitative expression (Garcia et al. 2017), as well as had impacts on both craniofacial development and the cardiovascular system of developing zebrafish (Garcia et al. 2018b). While this preliminary quantitative understanding between several of the relationships in the two AOPs is not available for other chemicals, taxonomic groups, or species, the TEF concept is still the most plausible and feasible method of risk assessment for dioxin-like chemicals even if they have broad species-specific responsiveness (Van den Berg et al. 1998).

## Considerations for Potential Applications of the AOP (optional)

With the diversity of ligands that bind and activate the AhRs, and the variety of biological and toxicological functions these receptors are involved in, AOPs describing different aspects of the Ahr signaling pathway could provide immense potential for cross-chemical and cross-taxa extrapolations. Additionally, the AOP networks can help prioritize the most relevant mechanistic data for regulatory decision making, while also identifying critical knowledge gaps for future research. Several *in vitro* and *in silico* assays are being leveraged to identify chemical structures that activate the Ahr (Larsson et al. 2018). A deeper understanding of the mechanisms of toxicity endpoints can not only help illuminate the specific conditions under which malformations might occur, but it can also provide phenotypic-specific genetic biomarkers, such as *sllncR* and *SOX9* as well as *VEGF* and *COX2*. These can be easily measured in short-term *in vivo* exposures as evidence for progression along an Ahr-mediated adverse outcome pathway. As such, both the current AOPs and the broader AOP network can support tiered and hypothesis directed testing strategies based on *in vitro* or *in silico* screening results. From an environmental monitoring standpoint, the novel AOPs provide one or more reliable effects-based indicators (ex: *sllncR* or *SOX9*) that could serve as early warning signs before the onset of deformities or mortality. Assuming the biomarkers are conserved across species, which is likely the case for *sllncR* and *SOX9*, gene expression measurements could also be used for predicting toxicant responses across a broad diversity of phylogenetic groups. Overall, the two proposed AOPs have the potential to: 1. Expand on the Ahr-related AOP network to gain a more comprehensive view of Ahr-related processes to support regulatory decisions, and 2. Integrate *in vivo* measures of gene expression response into the risk assessment paradigm for Ahr activating pollutants to enable extrapolations across both chemicals and taxa, while also identifying key differences between them.

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## Appendix 1

### List of MIEs in this AOP

#### Event: 18: Activation, AhR

Short Name: Activation, AhR

## Key Event Component

Process	Object	Action
aryl hydrocarbon receptor activity	aryl hydrocarbon receptor	increased

## AOPs Including This Key Event

AOP ID and Name	Event Type
<a href="#">Aop:21 - Aryl hydrocarbon receptor activation leading to early life stage mortality, via increased COX-2</a>	MolecularInitiatingEvent
<a href="#">Aop:57 - AhR activation leading to hepatic steatosis</a>	MolecularInitiatingEvent
<a href="#">Aop:131 - Aryl hydrocarbon receptor activation leading to uroporphyrina</a>	MolecularInitiatingEvent
<a href="#">Aop:150 - Aryl hydrocarbon receptor activation leading to early life stage mortality, via reduced VEGF</a>	MolecularInitiatingEvent
<a href="#">Aop:310 - Embryonic Activation of the AHR leading to Reproductive failure, via epigenetic down-regulation of GnRHR</a>	MolecularInitiatingEvent
<a href="#">Aop:151 - AhR activation leading to preeclampsia</a>	MolecularInitiatingEvent
<a href="#">Aop:414 - Aryl hydrocarbon receptor activation leading to lung fibrosis through TGF-<math>\beta</math> dependent fibrosis toxicity pathway</a>	MolecularInitiatingEvent
<a href="#">Aop:415 - Aryl hydrocarbon receptor activation leading to lung fibrosis through IL-6 toxicity pathway</a>	MolecularInitiatingEvent
<a href="#">Aop:416 - Aryl hydrocarbon receptor activation leading to lung cancer through IL-6 toxicity pathway</a>	MolecularInitiatingEvent
<a href="#">Aop:417 - Aryl hydrocarbon receptor activation leading to lung cancer through AHR-ARNT toxicity pathway</a>	MolecularInitiatingEvent
<a href="#">Aop:418 - Aryl hydrocarbon receptor activation leading to impaired lung function through AHR-ARNT toxicity pathway</a>	KeyEvent
<a href="#">Aop:419 - Aryl hydrocarbon receptor activation leading to impaired lung function through P53 toxicity pathway</a>	KeyEvent
<a href="#">Aop:420 - Aryl hydrocarbon receptor activation leading to lung cancer through sustained NRF2 toxicity pathway</a>	MolecularInitiatingEvent
<a href="#">Aop:439 - Activation of the AhR leading to breast cancer</a>	MolecularInitiatingEvent
<a href="#">Aop:455 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	MolecularInitiatingEvent
<a href="#">Aop:456 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	MolecularInitiatingEvent
<a href="#">Aop:458 - AhR activation in the liver leading to Subsequent Adverse Neurodevelopmental Outcomes in Mammals</a>	MolecularInitiatingEvent
<a href="#">Aop:494 - AhR activation leading to liver fibrosis</a>	MolecularInitiatingEvent
<a href="#">Aop:459 - AhR activation in the thyroid leading to Subsequent Adverse Neurodevelopmental Outcomes in Mammals</a>	MolecularInitiatingEvent

## Stressors

Name
Benzidine
Dibenzo-p-dioxin
Polychlorinated biphenyl
Polychlorinated dibenzofurans
Hexachlorobenzene

Polycyclic aromatic hydrocarbons (PAHs)  
**Name**

## Biological Context

### Level of Biological Organization

Molecular

## Evidence for Perturbation by Stressor

### Overview for Molecular Initiating Event

The AHR can be activated by several structurally diverse chemicals, but binds preferentially to planar halogenated aromatic hydrocarbons and polycyclic aromatic hydrocarbons. Dioxin-like compounds (DLCs), which include polychlorinated dibenzo-p-dioxins (PCDDs), polychlorinated dibenzofurans (PCDFs) and certain polychlorinated biphenyls (PCBs), are among the most potent AHR ligands<sup>[38]</sup>. Only a subset of PCDD, PCDF and PCB congeners has been shown to bind to the AHR and cause toxic effects to those elicited by TCDD. Until recently, TCDD was considered to be the most potent DLC in birds<sup>[39]</sup>; however, recent reports indicate that 2,3,4,7,8-pentachlorodibenzofuran (PeCDF) is more potent than TCDD in some species of birds<sup>[40][13][41][21][42][43]</sup>. When screened for their ability to induce aryl hydrocarbon hydroxylase (AHH) activity, dioxins with chlorine atoms at a minimum of three out of the four lateral ring positions, and with at least one non-chlorinated ring position are the most active<sup>[44]</sup>. Of the dioxin-like PCBs, non-ortho congeners are the most toxicologically active, while mono-ortho PCBs are generally less potent<sup>[45][9]</sup>. Chlorine substitution at ortho positions increases the energetic costs of assuming the coplanar conformation required for binding to the AHR<sup>[45]</sup>. Thus, a smaller proportion of mono-ortho PCB molecules are able to bind to the AHR and elicit toxic effects, resulting in reduced potency of these congeners. Other PCB congeners, such as di-ortho substituted PCBs, are very weak AHR agonists and do not likely contribute to dioxin-like effects<sup>[9]</sup>.

- Contrary to studies of birds and mammals, even the most potent mono-ortho PCBs bind to AhRs of fishes with very low affinity, if at all (Abnet et al 1999; Doering et al 2014; 2015; Eisner et al 2016; Van den Berg et al 1998).

The role of the AHR in mediating the toxic effects of planar hydrophobic contaminants has been well studied, however the endogenous role of the AHR is less clear<sup>[1]</sup>. Some endogenous and natural substances, including prostaglandin PGG2 and the tryptophan derivatives indole-3-carbinol, 6-formylindolo[3,2-b]carbazole (FICZ) and kynurenic acid can bind to and activate the AHR<sup>[6][46][47][48][49]</sup>. The AHR is thought to have important endogenous roles in reproduction, liver and heart development, cardiovascular function, immune function and cell cycle regulation<sup>[50][38][51][52][53][54][46][55][56][57]</sup> and activation of the AHR by DLCs may therefore adversely affect these processes.

### Dibenzo-p-dioxin

Denison, M. S., Soshilov, A. A., He, G., DeGroot, D. E., and Zhao, B. (2011). Exactly the same but different: promiscuity and diversity in the molecular mechanisms of action of the aryl hydrocarbon (dioxin) receptor. *Toxicol. Sci.* **124**, 1-22.

### Polychlorinated biphenyl

Of the dioxin-like PCBs, non-ortho congeners are the most toxicologically active, while mono-ortho PCBs are generally less potent (McFarland and Clarke 1989; Safe 1994). Chlorine substitution at ortho positions increases the energetic costs of assuming the coplanar conformation required for binding to the AHR (McFarland and Clarke 1989). Thus, a smaller proportion of mono-ortho PCB molecules are able to bind to the AHR and elicit toxic effects, resulting in reduced potency of these congeners. Other PCB congeners, such as di-ortho substituted PCBs, are very weak AHR agonists and do not likely contribute to dioxin-like effects (Safe 1994).

Safe, S. (1994). Polychlorinated biphenyls (PCBs): Environmental impact, biochemical and toxic responses, and implications for risk assessment. *Critical Reviews in Toxicology* **24**, 87-149.

McFarland, V. A., and Clarke, J. U. (1989). Environmental occurrence, abundance, and potential toxicity of polychlorinated biphenyl congeners: Considerations for a congener-specific analysis. *Environ. Health Perspect.* **81**, 225-239.

### Polychlorinated dibenzofurans

Denison, M. S., Soshilov, A. A., He, G., DeGroot, D. E., and Zhao, B. (2011). Exactly the same but different: promiscuity and diversity in the molecular mechanisms of action of the aryl hydrocarbon (dioxin) receptor. *Toxicol. Sci.* **124**, 1-22.

### Hexachlorobenzene

Cripps, D. J., Peters, H. A., Gocmen, A., and Dogramici, I. (1984) Porphyria turcica due to hexachlorobenzene: a 20 to 30 year

follow-up study on 204 patients. *Br. J Dermatol.* **111** (4), 413-422.

### Polycyclic aromatic hydrocarbons (PAHs)

PAHs are potent AHR agonists, but due to their rapid metabolism, they cause a transient alteration in AHR-mediated gene expression; this property results in a very different toxicity profile relative to persistent AHR-agonists such as dioxin-like compounds (Denison et al. 2011).

Denison, M. S., Soshilov, A. A., He, G., DeGroot, D. E., and Zhao, B. (2011). Exactly the same but different: promiscuity and diversity in the molecular mechanisms of action of the aryl hydrocarbon (dioxin) receptor. *Toxicol. Sci.* **124**, 1-22.

### Domain of Applicability

#### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
zebra danio	Danio rerio	High	<a href="#">NCBI</a>
Gallus gallus	Gallus gallus	High	<a href="#">NCBI</a>
Pagrus major	Pagrus major	High	<a href="#">NCBI</a>
Acipenser transmontanus	Acipenser transmontanus	High	<a href="#">NCBI</a>
Acipenser fulvescens	Acipenser fulvescens	High	<a href="#">NCBI</a>
rainbow trout	Oncorhynchus mykiss	High	<a href="#">NCBI</a>
Salmo salar	Salmo salar	High	<a href="#">NCBI</a>
Xenopus laevis	Xenopus laevis	High	<a href="#">NCBI</a>
Ambystoma mexicanum	Ambystoma mexicanum	High	<a href="#">NCBI</a>
Phasianus colchicus	Phasianus colchicus	High	<a href="#">NCBI</a>
Coturnix japonica	Coturnix japonica	High	<a href="#">NCBI</a>
mouse	Mus musculus	High	<a href="#">NCBI</a>
rat	Rattus norvegicus	High	<a href="#">NCBI</a>
human	Homo sapiens	High	<a href="#">NCBI</a>
Microgadus tomcod	Microgadus tomcod	High	<a href="#">NCBI</a>
Homo sapiens	Homo sapiens		<a href="#">NCBI</a>

#### Life Stage Applicability

##### Life Stage Evidence

Embryo	High
Development	High
All life stages	High

#### Sex Applicability

##### Sex Evidence

Unspecific	High
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The AHR structure has been shown to contribute to differences in species sensitivity to DLCs in several animal models. In 1976, a 10-fold difference was reported between two strains of mice (non-responsive DBA/2 mouse, and responsive C57BL/6 14 mouse) in CYP1A induction, lethality and teratogenicity following TCDD exposure<sup>[3]</sup>. This difference in dioxin sensitivity was later attributed to a single nucleotide polymorphism at position 375 (the equivalent position of amino acid residue 380 in chicken) in the AHR LBD<sup>[30][19][31]</sup>. Several other studies reported the importance of this amino acid in birds and mammals<sup>[32][30][22][33][34][35][31][36]</sup>. It has also been shown that the amino acid at position 319 (equivalent to 324 in chicken) plays an important role in ligand-binding affinity to the AHR and transactivation ability of the AHR, due to its involvement in LBD cavity volume and its steric effect<sup>[35]</sup>. Mutation at position 319 in the mouse eliminated AHR DNA binding<sup>[35]</sup>.

The first study that attempted to elucidate the role of avian AHR1 domains and key amino acids within avian AHR1 in avian differential sensitivity was performed by Karchner *et al.*<sup>[22]</sup>. Using chimeric AHR1 constructs combining three AHR1 domains (DBD,

LBD and TAD) from the chicken (highly sensitive to DLC toxicity) and common tern (resistant to DLC toxicity), Karchner and colleagues<sup>[22]</sup>, showed that amino acid differences within the LBD were responsible for differences in TCDD sensitivity between the chicken and common tern. More specifically, the amino acid residues found at positions 324 and 380 in the AHR1 LBD were associated with differences in TCDD binding affinity and transactivation between the chicken (Ile324\_Ser380) and common tern (Val324\_AlA380) receptors<sup>[22]</sup>. Since the Karchner et al. (2006) study was conducted, the predicted AHR1 LBD amino acid sequences have been obtained for over 85 species of birds and 6 amino acid residues differed among species<sup>[14][37]</sup>. However, only the amino acids at positions 324 and 380 in the AHR1 LBD were associated with differences in DLC toxicity in ovo and AHR1-mediated gene expression in vitro<sup>[14][37][16]</sup>. These results indicate that avian species can be divided into one of three AHR1 types based on the amino acids found at positions 324 and 380 of the AHR1 LBD: type 1 (Ile324\_Ser380), type 2 (Ile324\_AlA380) and type 3 (Val324\_AlA380)<sup>[14][37][16]</sup>.

- Little is known about differences in binding affinity of AhRs and how this relates to sensitivity in non-avian taxa.
- Low binding affinity for DLCs of AhR1s of African clawed frog (*Xenopus laevis*) and axolotl (*Ambystoma mexicanum*) has been suggested as a mechanism for tolerance of these amphibians to DLCs (Lavine et al 2005; Shoots et al 2015).
- Among reptiles, only AhRs of American alligator (*Alligator mississippiensis*) have been investigated and little is known about the sensitivity of American alligator or other reptiles to DLCs (Oka et al 2016).
- Among fishes, great differences in sensitivity to DLCs are known both for AhRs and for embryos among species that have been tested (Doering et al 2013; 2014).
- Differences in binding affinity of the AhR2 have been demonstrated to explain differences in sensitivity to DLCs between sensitive and tolerant populations of Atlantic Tomcod (*Microgadus tomcod*) (Wyrin et al 2011).
  - This was attributed to the rapid evolution of populations in highly contaminated areas of the Hudson River, resulting in a 6-base pair deletion in the AHR sequence (outside the LBD) and reduced ligand binding affinity, due to reduced AHR protein stability.
- Information is not yet available regarding whether differences in binding affinity of AhRs of fishes are predictive of differences in sensitivity of embryos, juveniles, or adults (Doering et al 2013).

The AhR is a very conserved and ancient protein (95) and the AhR is present in human and mice (96–98).

## Key Event Description

### The AHR Receptor

The aryl hydrocarbon receptor (AHR) is a ligand-activated transcription factor that belongs to the basic helix-loop-helix Per-ARNT-Sim (bHLH-PAS) superfamily and consists of three domains: the DNA-binding domain (DBD), ligand binding domain (LBD) and transactivation domain (TAD)<sup>[1]</sup>. Other members of this superfamily include the AHR nuclear translocator (ARNT), which acts as a dimerization partner of the AHR<sup>[2][3]</sup>; Per, a circadian transcription factor; and Sim, the “single-minded” protein involved in neuronal development<sup>[4][5]</sup>. This group of proteins shares a highly conserved PAS domain and is involved in the detection of and adaptation to environmental change<sup>[4]</sup>.

Investigations of invertebrates possessing early homologs of the AhR suggest that the AhR evolutionarily functioned in regulation of the cell cycle, cellular proliferation and differentiation, and cell-to-cell communications (Hahn et al 2002). However, critical functions in angiogenesis, regulation of the immune system, neuronal processes, metabolism, development of the heart and other organ systems, and detoxification have emerged sometime in early vertebrate evolution (Duncan et al., 1998; Emmons et al., 1999; Lahvis and Bradfield, 1998).

### The molecular Initiating Event

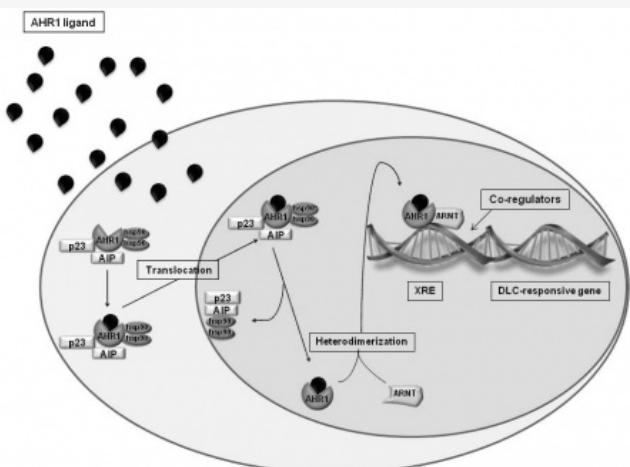


Figure 1: The molecular mechanism of activation of gene expression by AHR.

The molecular mechanism for AHR-mediated activation of gene expression is presented in Figure 1. In its unliganded form, the AHR

is part of a cytosolic complex containing heat shock protein 90 (HSP90), the HSP90 co-chaperone p23 and AHR-interacting protein (AIP)<sup>[6]</sup>. Upon ligand binding, the AHR migrates to the nucleus where it dissociates from the cytosolic complex and forms a heterodimer with ARNT<sup>[7]</sup>. The AHR-ARNT complex then binds to a xenobiotic response element (XRE) found in the promoter of an AHR-regulated gene and recruits co-regulators such as CREB binding protein/p300, steroid receptor co-activator (SRC) 1, SRC-2, SRC-3 and nuclear receptor interacting protein 1, leading to induction or repression of gene expression<sup>[6]</sup>. Expression levels of several genes, including phase I (e.g. cytochrome P450 (CYP) 1A, CYP1B, CYP2A) and phase II enzymes (e.g. uridine diphosphate glucuronosyl transferase (UDP-GT), glutathione S-transferases (GSTs)), as well as genes involved in cell proliferation (transforming growth factor-beta, interleukin-1 beta), cell cycle regulation (p27, jun-B) and apoptosis (Bax), are regulated through this mechanism<sup>[6][8][7][9]</sup>.

## AHR Isoforms

- Over time the AhR has undergone gene duplication and diversification in vertebrates, which has resulted in multiple clades of AhR, namely AhR1, AhR2, and AhR3 (Hahn 2002).
- Fishes and birds express AhR1s and AhR2s, while mammals express a single AhR that is homologous to the AhR1 (Hahn 2002; Hahn et al 2006).
- The AhR3 is poorly understood and known only from some cartilaginous fishes (Hahn 2002).
- Little is known about diversity of AhRs in reptiles and amphibians (Hahn et al 2002).
- In some taxa, subsequent genome duplication events have further led to multiple isoforms of AhRs in some species, with up to four isoforms of the AhR ( $\alpha$ ,  $\beta$ ,  $\delta$ ,  $\gamma$ ) having been identified in Atlantic salmon (*Salmo salar*) (Hansson et al 2004).
- Although homologs of the AhR have been identified in some invertebrates, compared to vertebrates these AhRs have differences in binding of ligands in the species investigated to date (Hahn 2002; Hahn et al 1994).

### Roles of isoforms in birds:

Two AHR isoforms (AHR1 and AHR2) have been identified in the black-footed albatross (*Phoebastria nigripes*), great cormorant (*Phalacrocorax carbo*) and domestic chicken (*Gallus gallus domesticus*)<sup>[10]</sup>. AHR1 mRNA levels were similar in the kidney, heart, lung, spleen, brain, gonad and intestine from the great cormorant but were lower in muscle and pancreas. AHR2 expression was mainly observed in the liver, but was also detected in gonad, brain and intestine. AHR1 levels represented a greater proportion (80%) of total AHR levels than AHR2 in the cormorant liver<sup>[10]</sup>, and while both AHR isoforms bound to TCDD, AHR2 was less effective at inducing TCDD-dependent transactivation compared to AHR1 in black-footed albatross, great cormorant and domestic chicken<sup>[11][10]</sup>.

- AhR1 and AhR2 both bind and are activated by TCDD *in vitro* (Yasui et al 2007).
- AhR1 has greater binding affinity and sensitivity to activation by TCDD relative to AhR2 (Yasui et al 2007).
- AhR1 is believed to mediate toxicities of DLCs, while AhR2 has no known role in toxicities (Farmahin et al 2012; Farmahin et al 2013; Manning et al 2012).

### Roles of isoforms in fishes:

- AhR1 and AhR2 both bind and are activated by TCDD *in vitro* (Bak et al 2013; Doering et al 2014; 2015; Karchner et al 1999; 2005).
- AhR1 has greater sensitivity to activation by TCDD than AhR2 in red seabream (*Pagrus major*), white sturgeon (*Acipenser transmontanus*), and lake sturgeon (*Acipenser fulvescens*) (Bak et al 2013; Doering et al 2014; 2015)
- AhR2 has greater binding affinity or activation by TCDD than AhR1 in zebrafish (*Danio rerio*) and mummichog (*Fundulus heteroclitus*) (Karchner et al 1999; 2005).
- AhR2 is believed to mediate toxicities in fishes, while AhR1 has no known role in toxicities. Specifically, knockdown of AhR2 protects against toxicities of dioxin-like compounds (DLCs) and polycyclic aromatic hydrocarbons (PAHs) in zebrafish (*Danio rerio*) and mummichog (*Fundulus heteroclitus*), while knockdown of AhR1 offers no protection (Clark et al 2010; Prasch et al 2003; Van Tiem & Di Giulio 2011).

### Roles of isoforms in amphibians and reptiles:

- Less is known about AhRs of amphibians or reptiles.
- AhR1 is believed to mediate toxicities in amphibians (Hahn 2002; Lavine et al 2005; Oka et al 2016; Shoots et al 2015). However, all AhRs of amphibians that have been investigated have very low affinity for TCDD (Hahn 2002; Lavine et al 2005; Oka et al 2016; Shoots et al 2015).
- Both AhR1s and AhR2 of American alligator (*Alligator mississippiensis*) are activated by agonists with comparable sensitivities (Oka et al 2016). AhRs of no other reptiles have been investigated.

## How it is Measured or Detected

Methods that have been previously reviewed and approved by a recognized authority should be included in the Overview section above. All other methods, including those well established in the published literature, should be described here. Consider the following criteria when describing each method: 1. Is the assay fit for purpose? 2. Is the assay directly or indirectly (i.e. a surrogate) related to a key event relevant to the final adverse effect in question? 3. Is the assay repeatable? 4. Is the assay reproducible?

## Transactivation Reporter Gene Assays (recommended approach)

### Transient transfection transactivation

Transient transfection transactivation is the most common method for evaluating nuclear receptor activation<sup>[12]</sup>. Full-length AHR cDNAs are cloned into an expression vector along with a reporter gene construct (chimeric luciferase, P-lactamase or CAT reporter vectors containing the appropriate response elements for the gene of interest). There are a number of commercially available cell lines that can serve as recipients for these vectors (CV-1, HuH7, FLC-7, LS174T, LS180 MCF-7, HEC1, LLC-PK1, HEK293, HepG2, and Caco-2 cells)<sup>[12]</sup>. The greatest advantage of using transfected cells, rather than primary cell cultures, is the assurance that the nuclear receptor of interest is responsible for the observed induction. This would not be possible in a primary cell culture due to the co-regulation of different receptors for the same target genes. This model makes it easy to compare the responsiveness of the AHR across multiple species under the same conditions simply by switching out the AHR clone. One disadvantage to the transient transfection assay is the inherent variability associated with transfection efficiency, leading to a movement towards the use of stable cell lines containing the nuclear receptor and reporter gene linked to the appropriate response elements<sup>[12]</sup>.

### Luciferase reporter gene (LRG) assay

The described luciferase reporter gene (LRG) assays have been used to investigate activation of AhRs of:

- Humans (*Homo sapiens*) (Abnet et al 1999)
- Species of birds, namely chicken (*Gallus gallus*), ring-necked pheasant (*Phasianus colchicus*), Japanese quail (*Coturnix japonica*), and common tern (*Sterna hirundo*) (Farmahin et al 2012; Manning et al 2013), Mutant AhR1s with ligand binding domains resembling those of at least 86 avian species have also been investigated (Farmahin et al 2013). AhR2s of birds have only been investigated in black-footed albatross (*Phoebastria nigripes*) and common cormorant (*Phalacrocorax carbo*) (Yasio et al 2007).
- American alligator (*Alligator mississippiensis*) is the only reptile for which AhR activation has been investigated (Oka et al 2016), AhR1A, AhR1B, and AhR2 of American alligator were assayed (Oka et al 2016).
- AhR1 of two amphibians have been investigated, namely African clawed frog (*Xenopus laevis*) and salamander (*Ambystoma mexicanum*) (Lavine et al 2005; Shoots et al 2015; Ohi et al 2003),
- AhR1s and AhR2s of several species of fish have been investigated, namely Atlantic salmon (*Salmo salar*), Atlantic tomcod (*Microgadus tomcod*), white sturgeon (*Acipenser transmontanus*), rainbow trout (*Onchorhynchus mykiss*), red seabream (*Pagrus major*), lake sturgeon (*Acipenser fulvescens*), and zebrafish (*Danio rerio*) (Andreasen et al 2002; Abnet et al 1999; Bak et al 2013; Doering et al 2014; 2015; Evans et al 2005; Hansson & Hahn 2008; Karchner et al 1999; Tanguay et al 1999; Wirgin et al 2011).

For demonstrative purposes, a luciferase reporter gene assay used to measure AHR1-mediated transactivation for avian species is described here. However, comparable assays are utilized for investigating AHR1s and AHR2s of all taxa. A monkey kidney cell line (Cos-7) that has low endogenous AHR1 expression was transfected with the appropriate avian AHR1 clone, cormorant ARNT1, a CYP1A5 firefly luciferase reporter construct and a *Renilla* luciferase vector to control for transfection efficiency. After seeding, the cells were exposed to DLC and luciferase activity was measured using a luminometer. Luminescence, which is proportional to the extent of AHR activation, is expressed as the ratio of firefly luciferase units to *Renilla* luciferase units<sup>[13]</sup>. This particular assay was modified from its original version to increase throughput efficiency; (a) cells were seeded in 96-well plates rather than Petri dishes or 48-well plates, (b) DLCs were added directly to the wells without changing the cell culture medium, and (c) the same 96-well plates were used to measure luminescence without lysing the cells and transferring to another plate. Similar reporter gene assays have been used to measure AHR1 activation in domestic and wild species of birds, including the chicken, ring-necked pheasant (*Phasianus colchicus*), Japanese quail (*Coturnix japonica*), great cormorant, black-footed albatross and peregrine falcon (*Falco peregrinus*).<sup>[14][13][15][11][16][17]</sup>

### Transactivation in stable cell lines

Stable cell lines have been developed and purified to the extent that each cell contains both the nuclear receptor and appropriate reporter vector, eliminating the variability associated with transfection<sup>[12]</sup>. A stable human cell line containing a luciferase reporter driven by multiple dioxin response elements has been developed that is useful in identifying AhR agonists and antagonists<sup>[18]</sup>. An added benefit of this model is the potential to multiplex 3 assays in a single well: receptor activation, cell viability and enzyme activity<sup>[12]</sup>. Such assays are used extensively in drug discovery due to their high throughput efficiency, and may serve just as useful for risk assessment purposes.

### Ligand-Binding Assays

Ligand binding assays measure the ability of a test compound to compete with a labeled, high-affinity reference ligand for the LBD of a nuclear receptor. It is important to note that ligand binding does not necessitate receptor activation and therefore cannot distinguish between agonists and antagonists; however, binding affinities of AHR ligands are highly correlated with chemical potencies<sup>[19]</sup> and can explain differences in species sensitivities to DLCs<sup>[20][21][22]</sup>; they are therefore worth mentioning. Binding affinity and efficacy have been used to develop structure-activity relationships for AHR disruption<sup>[20][23]</sup> that are potentially useful in risk-assessment. There has been tremendous progress in the development of ligand-binding assays for nuclear receptors that use homogenous assay

formats (no wash steps) allowing for the detection of low-affinity ligands, many of which do not require a radiolabel and are amenable to high throughput screening<sup>[24][12]</sup>. This author however was unable to find specific examples of such assays in the context of AHR binding and therefore some classic radioligand assays are described instead.

### Hydroxyapatite (HAP) binding assay

The HAP binding assay makes use of an *in vitro* transcription/translation method to synthesize the AHR protein, which is then incubated with radiolabeled TDCPP and a HAP pellet. The occupied protein adsorbs to the HAP and the radioactivity is measured to determine saturation binding. An additional ligand can also be included in the mixture in order to determine its binding affinity relative to TCDD (competitive binding)<sup>[25][22]</sup>. This assay is simple, repeatable and reproducible; however, it is insensitive to weak ligand-receptor interactions<sup>[22][21][26]</sup>.

### Whole cell filtration binding assay

Dold and Greenlee<sup>[27]</sup> developed a method to detect specific binding of TCDD to whole mammalian cells in culture and was later modified by Farmahin et al.<sup>[21]</sup> for avian species. The cultured cells are incubated with radiolabeled TCDD with or without the presence of a competing ligand and filtered. The occupied protein adsorbs onto the filter and the radioactivity is measured to determine saturation binding and/or competitive binding. This assay is able to detect weak ligand-receptor interactions that are below the detection limit of the HAP assay<sup>[21]</sup>.

### Protein-DNA Interaction Assays

The active AHR complexed with ARNT can be measured using protein-DNA interaction assays. Two methods are described in detail by Perez-Romero and Imperiale<sup>[28]</sup>. Chromatin immunoprecipitation measures the interaction of proteins with specific genomic regions *in vivo*. It involves the treatment of cells with formaldehyde to crosslink neighboring protein-protein and protein-DNA molecules. Nuclear fractions are isolated, the genomic DNA is sheared, and nuclear lysates are used in immunoprecipitations with an antibody against the protein of interest. After reversal of the crosslinking, the associated DNA fragments are sequenced. Enrichment of specific DNA sequences represents regions on the genome that the protein of interest is associated with *in vivo*. Electrophoretic mobility shift assay (EMSA) provides a rapid method to study DNA-binding protein interactions *in vitro*. This relies on the fact that complexes of protein and DNA migrate through a nondenaturing polyacrylamide gel more slowly than free DNA fragments. The protein-DNA complex components are then identified with appropriate antibodies. The EMSA assay was found to be consistent with the LRG assay in chicken hepatoma cells dosed with dioxin-like compounds<sup>[29]</sup>.

### In silico Approaches

In silico homology modeling of the ligand binding domain of the AHR in combination with molecular docking simulations can provide valuable insight into the transactivation-potential of a diverse array of AHR ligands. Such models have been developed for multiple AHR isoforms and ligands (high/low affinity, endogenous and synthetic, agonists and antagonists), and can accurately predict ligand potency based on their structure and physicochemical properties (Bonati et al 2017; Hirano et al 2015; Sovadina et al 2006).

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## List of Key Events in the AOP

### Event: 944: dimerization, AHR/ARNT

**Short Name:** dimerization, AHR/ARNT

#### Key Event Component

Process	Object	Action
protein dimerization activity	aryl hydrocarbon receptor	increased
protein dimerization activity	aryl hydrocarbon receptor nuclear translocator	increased

#### AOPs Including This Key Event

AOP ID and Name	Event Type
<a href="#">Aop:150 - Aryl hydrocarbon receptor activation leading to early life stage mortality, via reduced VEGF</a>	KeyEvent
<a href="#">Aop:21 - Aryl hydrocarbon receptor activation leading to early life stage mortality, via increased COX-2</a>	KeyEvent
<a href="#">Aop:310 - Embryonic Activation of the AHR leading to Reproductive failure, via epigenetic down-regulation of GnRHR</a>	KeyEvent
<a href="#">Aop:151 - AhR activation leading to preeclampsia</a>	KeyEvent
<a href="#">Aop:455 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	KeyEvent
<a href="#">Aop:456 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	KeyEvent

#### Stressors

Name
2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD)
Stressor:147 Dibenzo-p-dioxin
Polychlorinated biphenyl
Polychlorinated dibenzofurans
Polycyclic aromatic hydrocarbons

#### Biological Context

**Level of Biological Organization**

Molecular

**Cell term****Cell term**

eukaryotic cell

**Domain of Applicability****Taxonomic Applicability**

Term	Scientific Term	Evidence	Links
chicken	<i>Gallus gallus</i>	High	<a href="#">NCBI</a>
zebrafish	<i>Danio rerio</i>	High	<a href="#">NCBI</a>
mouse	<i>Mus musculus</i>	High	<a href="#">NCBI</a>
<i>Coturnix japonica</i>	<i>Coturnix japonica</i>	High	<a href="#">NCBI</a>
<i>Phasianus colchicus</i>	<i>Phasianus colchicus</i>	High	<a href="#">NCBI</a>
rainbow trout	<i>Oncorhynchus mykiss</i>	High	<a href="#">NCBI</a>
<i>Pagrus major</i>	<i>Pagrus major</i>	High	<a href="#">NCBI</a>
<i>Acipenser fulvescens</i>	<i>Acipenser fulvescens</i>	High	<a href="#">NCBI</a>
<i>Acipenser transmontanus</i>	<i>Acipenser transmontanus</i>	High	<a href="#">NCBI</a>
<i>Salmo salar</i>	<i>Salmo salar</i>	High	<a href="#">NCBI</a>
<i>Xenopus laevis</i>	<i>Xenopus laevis</i>	High	<a href="#">NCBI</a>
human	<i>Homo sapiens</i>	High	<a href="#">NCBI</a>
<i>Ambystoma mexicanum</i>	<i>Ambystoma mexicanum</i>	High	<a href="#">NCBI</a>
<i>Microgadus tomcod</i>	<i>Microgadus tomcod</i>	High	<a href="#">NCBI</a>

**Life Stage Applicability****Life Stage Evidence**

Embryo High

Development High

All life stages High

**Sex Applicability****Sex Evidence**

Unspecific High

## Taxonomic Presence of ARNT genes:

- ARNTs have been identified in all tetrapods investigated to date (Drutel et al 1996; Hirose et al 1996; Hoffman et al 1991; Lee et al 2007; Lee et al 2011).
- ARNTs have been identified in a great phylogenetic diversity of fishes, including early fishes (Doering et al 2014; 2016).
- ARNT has been identified in investigated invertebrates (Powell-Coffman et al 1998).

## Taxonomic Applicability of Heterodimerization of ARNT isoforms with AhR isoforms:

- In mouse (*Mus mus*) and chicken (*Gallus gallus*) both the ARNT1 and ARNT2 were capable of heterodimerizing with AHR and interacting with dioxin-responsive elements on the DNA *in vitro* (Hirose et al 1996; Lee et al 2007; Lee et al 2011; Prasch et al 2004). However, no studies have yet confirmed involvement of both ARNT1 and ARNT2 *in vivo*.
- In zebrafish, all adverse effects of DLCs so far examined *in vivo* are mediated solely by ARNT1 based on knockdown studies, although ARNT2 is capable of heterodimerizing with AHR2 and interacting with dioxin-responsive elements on the DNA *in vitro* (Prasch et al 2004; Prasch et al 2006). In addition to AHRs of zebrafish, AHRs of Atlantic salmon (*Salmo salar*), Atlantic tomcod (*Microgadus tomcod*), mummichog, rainbow trout, and red seabream (*Pagrus major*) have been demonstrated to heterodimerize with ARNT1 *in vitro* (Abnet et al 1999; Bak et al 2013; Hansson & Hahn 2008; Karchner et al 1999; Wirgin et al 2004).

2011), while AHRs of white sturgeon (*Acipenser transmontanus*), and lake sturgeon (*Acipenser fulvescens*) have been demonstrated to heterodimerize with ARNT2 *in vitro* (Doering et al 2014b; 2015b; Prasch et al 2004; 2006).

This mechanism is conserved across species. Mammals possess a single AHR, whereas birds and fish express multiple isoforms, and all three express multiple ARNT isoforms. Not all of the isoforms identified are functionally active. For example, killifish AHR1 and AHR2 are active and display different transcription profiles, whereas zebrafish AHR2 and ARNT2 are active in mediating xenobiotic-mediated toxicity and AHR1 is inactive (Hahn et al. 2006; Prasch et al. 2006).

## Key Event Description

### Structure and Function of ARNT

- The aryl hydrocarbon receptor nuclear translocator (ARNT) is a member of the Per-Arnt-Sim (PAS) family of proteins (Gu et al 2000).
- PAS proteins share highly conserved PAS domains (Gu et al 2000).
- PAS proteins act as transcriptional regulators in response to environmental and physiological cues (Gu et al 2000).
- ARNTs have numerous key roles in vertebrates related to responses to developmental and environmental cues.

#### Isoforms of ARNT:

- Over time ARNT has undergone gene duplication and diversification in vertebrates, which has resulted in three clades of ARNT, namely ARNT1, ARNT2, and ARNT3.
- Each clade can include multiple isoforms and splice variants (Hill et al 2009; Lee et al 2007; Lee et al 2011; Powel & Hahn 2000; Tanguay et al 2000).
- ARNT1s have been demonstrated to function predominantly through heterodimerization with the aryl hydrocarbon receptor (AhR) and hypoxia inducible factor 1  $\alpha$  (HIF1 $\alpha$ ) (Prasch et al 2004; 2006; Wang et al 1995).
- ARNT2s are believed to function predominantly through heterodimerization with Single Minded (SIM) (Hirose et al 1996).
- ARNT3s, which are also known as ARNT-like (ARNTL), Brain and Muscle ARNT-like-1 (BMAL1), or Morphine Preference 3 (MOP3), are believed to function predominantly through heterodimerization with Circadian Locomotor Output Cycles Kaput (CLOCK) (Gekakis et al 1998).

#### Roles of ARNTs in mammals:

- ARNT1 functions in normal vascular and hematopoietic development (Kozak et al 1997; Maltepe et al 1997; Abbott & Buckalew 2000).
- ARNT2 functions in development of the hypothalamus and nervous system (Hosoya et al 2001; Keith et al 2001).
- ARNT3 functions in biological rhythms (Gekakis et al 1998).

#### Roles of ARNTs in other taxa:

- ARNTs have been demonstrated to have roles in development of the heart, brain, liver, and possibly the peripheral nervous system in zebrafish (*Danio rerio*) (Hill et al 2009).
- Roles of ARNTs in other taxa have not been sufficiently investigated to date.

### Interaction with AHR

- Both ARNT1s and ARNT2s are able to heterodimerize with AhR and interact with dioxin-responsive elements on the DNA in *in vitro* systems (Hirose et al 1996; Lee et al 2007; Lee et al 2011; Prasch et al 2004).
- Selective knockdown of ARNTs in zebrafish (*Danio rerio*) demonstrates that ARNT1s, but not ARNT2s, are required for activation of the AhR *in vivo* (Prasch et al 2004; 2006).
- In limited investigations ARNT3 has not been demonstrated to interact with the AHR either *in vivo* or *in vitro* (Jain et al 1998).

Upon ligand binding, the aryl hydrocarbon receptor (AHR) migrates to the nucleus where it dissociates from the cytosolic complex and forms a heterodimer with AHR nuclear translocator (ARNT) (Mimura and Fujii-Kuriyama 2003). The AHR-ARNT complex then binds to a xenobiotic response element (XRE) found in the promoter of an AHR-regulated gene and recruits co-regulators such as CREB binding protein/p300, steroid receptor co-activator (SRC) 1, SRC-2, SRC-3 and nuclear receptor interacting protein 1, leading to induction or repression of gene expression (Fujii-Kuriyama and Kawajiri 2010). Expression levels of several genes, including phase I (e.g. cytochrome P450 (CYP) 1A, CYP1B, CYP2A) and phase II enzymes (e.g. uridine diphosphate glucuronosyl transferase (UDPGT), glutathione S-transferases (GSTs)), as well as genes involved in cell proliferation (transforming growth factor-beta, interleukin-1 beta), cell cycle regulation (p27, jun-B) and apoptosis (Bax), are regulated through this mechanism (Fujii-Kuriyama and Kawajiri 2010; Giesy et al. 2006; Mimura and Fujii-Kuriyama 2003; Safe 1994).

### How it is Measured or Detected

AhR/ARNT heterodimerization can be measured in several ways:

- 1) The active AHR complexed with ARNT can be measured using protein-DNA interaction assays. Two methods are described in detail by Perez-Romero and Imperiale (Perez-Romero and Imperiale 2007). Chromatin immunoprecipitation measures the interaction of proteins with specific genomic regions *in vivo*. It involves the treatment of cells with formaldehyde to crosslink neighboring protein-protein and protein-DNA molecules. Nuclear fractions are isolated, the genomic DNA is sheared, and nuclear lysates are used in immunoprecipitations with an antibody against the protein of interest. After reversal of the crosslinking, the associated DNA fragments

are sequenced. Enrichment of specific DNA sequences represents regions on the genome that the protein of interest is associated with in vivo. Electrophoretic mobility shift assay (EMSA) provides a rapid method to study DNA-binding protein interactions in vitro. This relies on the fact that complexes of protein and DNA migrate through a non-denaturing polyacrylamide gel more slowly than free DNA fragments. The protein-DNA complex components are then identified with appropriate antibodies. The EMSA assay was found to be consistent with the luciferase reporter gene assay (in chicken hepatoma cells dosed with dioxin-like compounds (Heid et al. 2001).

2) Species-specific differences in dimerization and differences in dimerization between ARNT isoform and AhR isoform combinations have been assessed through luciferase reporter gene (LRG) assays utilizing COS-7 cells transfected with expression constructs of AhR and ARNT isoforms of mammals, birds, and fishes (Abnet et al 1999; Bak et al 2013; Doering et al 2014; 2015; Hansson & Hahn 2008; Hirose et al 1996; Karchner et al 1999; Lee et al 2007; Lee et al 2011; Prasch et al 2004; Virgin et al 2011). However, this method is indirect as it also includes binding of a ligand to the AhR, and interaction of the AhR/ARNT heterodimer with dioxin-responsive elements on the DNA.

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### Event: 2021: Increase, slincR expression

**Short Name: Increase, slincR expression**

**Key Event Component**

Process	Object	Action
gene expression		increased

**AOPs Including This Key Event**

AOP ID and Name	Event Type
<a href="#">Aop:455 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	KeyEvent
<a href="#">Aop:456 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	KeyEvent

**Biological Context****Level of Biological Organization**

Molecular

**Cell term****Cell term**

eukaryotic cell

**Domain of Applicability****Taxonomic Applicability**

Term	Scientific Term	Evidence	Links
Danio rerio	Danio rerio	High	<a href="#">NCBI</a>
Mus musculus	Mus musculus	Moderate	<a href="#">NCBI</a>
Homo sapiens	Homo sapiens	Moderate	<a href="#">NCBI</a>

**Life Stage Applicability**

Life Stage	Evidence
Embryo	High
Development	High

**Sex Applicability**

Sex	Evidence
Unspecific	High

- slincR was discovered and characterized in developing zebrafish (Garcia et al., 2017; Garcia et al., 2018).
- Additionally, putative mammalian orthologs have also been identified using the slncky Evolution Browser (Garcia et al., 2018). The mouse ortholog was identified from an unpublished RNA sequencing dataset from male and female mouse urogenital epithelial tissue exposed to TCDD using a combination of proximity to the sox9 locus and TCDD-induced gene expression. Only one lncRNA (2610035D17Rik) matched the criteria. The human ortholog (LINC00673) of the mouse lncRNA was identified using slncky. Expression of both the mouse and human lncRNA orthologs from NCBI were consistent with zebrafish slincR expression.

**Key Event Description**

Descriptions of the KE comes from two studies that discovered and described slincR in zebrafish (Garcia et al., 2017; Garcia et al., 2018).

- The **sox9b long intergenic non-coding RNA** or slincR is a novel long non-coding RNA (lncRNA) that was recently discovered in developing zebrafish
- slincR gene expression is dependent on Aryl hydrocarbon receptor (Ahr) activation, with slincR induced up to  $\sim \log_2 FC = 5$  in whole-animal zebrafish exposed to the potent Ahr ligand, TCDD. This induction takes place only in the presence of a functional Ahr protein. SlincR is also induced by multiple other Ahr ligands.
- slincR is located approximately 40,000 bp upstream and antisense of the sox9b gene locus in zebrafish. sox9b is one of the most reduced transcripts in the jaw when zebrafish are exposed to TCDD (Xiong et al., 2008), and is one of two zebrafish paralogs of sox9, a critical transcription factor that has been implicated in several processes including chondrogenesis and cardiac development, in addition to skeletal development, male gonad genesis, and cancer progression (Panda et al., 2021; Lefebvre et al., 2017).
- slincR was found to be enriched in the 5'UTR of the sox9b gene, suggesting possible interactions between slincR and sox9b. A slincR morpholino experiment demonstrated that slincR is required for sox9b repression.
- Morpholino knockdown of slincR showed slincR's ability to regulate cartilage development, and play a role in TCDD-induced hemorrhaging, both via whole-animal transcriptomics and phenotypic analyses.

**How it is Measured or Detected**

slincR gene expression can be measured by quantitative reverse transcriptase polymerase chain reaction (RT-qPCR) and has been measured in embryonic zebrafish at 48 and 96 hours post fertilization (hpf) (Garcia et al., 2017).

slincR tissue localization of expression can be measured by in situ hybridization and has been measured in embryonic zebrafish at 24, 36, 48, 60, and 72 hpf (Garcia et al., 2017).

slincR molecular localization can be measured by capture hybridization analysis of RNA targets (CHART) and was measured in 48 hpf zebrafish embryos (Garcia et al., 2018).

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## Event: 2020: Decrease, sox9 expression

### Short Name: Decrease, sox9 expression

#### Key Event Component

Process	Object	Action
gene expression		decreased

#### AOPs Including This Key Event

AOP ID and Name	Event Type
<a href="#">Aop:455 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	KeyEvent
<a href="#">Aop:456 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	KeyEvent

#### Biological Context

##### Level of Biological Organization

Molecular

#### Cell term

##### Cell term

eukaryotic cell

#### Domain of Applicability

#### Taxonomic Applicability

Term	Scientific Term	Evidence	Links

Term	Scientific Term	Evidence	Links
zebrafish	Danio rerio	High	<a href="#">NCBI</a>
human	Homo sapiens	High	<a href="#">NCBI</a>
mouse	Mus musculus	High	<a href="#">NCBI</a>
rat	Rattus norvegicus	High	<a href="#">NCBI</a>

**Life Stage Applicability****Life Stage Evidence**

Embryo High

Development High

**Sex Applicability****Sex Evidence**

Unspecific High

**Key Event Description**

- The sox family of proteins are a group of highly conserved transcriptional regulators that are present in most groups of animals from invertebrates and unicellular organisms (Phochanukul and Russell 2010) to the more complex vertebrates.
- Sox proteins are characterized by containing the highly conserved high mobility group (HMG) domain, and around 20 different sox proteins have been discovered in mice and humans to date (Jo et al., 2014).
- Sox9, which is part of the soxE subgroup, was initially discovered as the gene underlying campomelic dysplasia (CD), a haplosufficiency disorder characterized by abnormal chondrogenesis, as well as autosomal XY sex reversal from males to females (Wagner et al., 1994).
- Since then, sox9 has been implicated in several functions such as in chondrogenesis, skeletal development, male gonad genesis, development of mesodermal tissues such as cardiac valves and septa, and pyloric sphincter, in ectoderm development (neural stem cells, gliogenesis, and neural stem cells), in hair follicle stem cells, retinal progenitor cells, and the otic placode, and during endoderm development impacting the pancreas, liver, intestine, and lungs. The developmental functions of sox9 have been comprehensively reviewed (Jo et al., 2014; Kawaguchi 2013; Lee and Saint-Jeannet 2011; Lefebvre and Dvir-Ginzberg 2017).
- Several of sox9's functions are hypothesized to take place as a result of its role as a repressor of the Wnt/B-catenin signaling pathway. Of note, the canonical Wnt signaling pathway promotes chondrocyte differentiation in a sox9-dependent manner (Yano et al., 2005).
- Sox9b (one of two paralogs of the sox9 gene in zebrafish) is one of the most reduced transcripts in the jaw upon TCDD exposure in zebrafish which causes severe lower jaw defects (Xiong et al., 2008), supporting role of sox9's repression in craniofacial defects.

**[Event: 317: Altered, Cardiovascular development/function](#)****Short Name: Altered, Cardiovascular development/function****Key Event Component**

Process	Object	Action
abnormal cardiovascular system physiology		morphological change
cardiovascular system development	cardiovascular system	abnormal

**AOPs Including This Key Event**

AOP ID and Name	Event Type
<a href="#">Aop:150 - Aryl hydrocarbon receptor activation leading to early life stage mortality, via reduced VEGF</a>	KeyEvent
<a href="#">Aop:21 - Aryl hydrocarbon receptor activation leading to early life stage mortality, via increased COX-2</a>	KeyEvent
<a href="#">Aop:456 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	KeyEvent

**Stressors****Name**

2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD)

**Biological Context****Level of Biological Organization**

Organ

**Organ term****Organ term**

heart

**Domain of Applicability****Taxonomic Applicability**

Term	Scientific Term	Evidence	Links
Vertebrates	Vertebrates	High	<a href="#">NCBI</a>
Invertebrates	Invertebrates	High	<a href="#">NCBI</a>

**Life Stage Applicability****Life Stage Evidence**

Embryo High

**Sex Applicability****Sex Evidence**

Unspecific High

- Some form of cardiovascular system is present in members of the clade Bilateria (Bishopric 2005). This clade includes most animal phyla, except for sponges (Porifera), jellyfishes and corals (Cnidaria), placozoans (Placozoa), and comb jellies (Ctenophora).
- Differences in cardiovascular systems are present among taxa. Vertebrates have closed circulatory systems, while some invertebrate taxa have open circulatory systems (Kardong 2006).

**Key Event Description**

This key event applies to the disruption of cardiogenesis early enough in embryogenesis to result in gross morphological alterations leading to reduced cardiac function.

**How it is Measured or Detected**

Altered cardiovascular development/function can be measured in numerous ways:

- As blood flow in the mesencephalic vein by use of time-lapse recording using a digital video camera (Teraoka et al 2008; 2014). Blood flow is measured as the number of red blood cells passing the mesencephalic vein per second (Teraoka et al 2008; 2014). This method is described in detail by Teraoka et al (2002). However, some studies have assessed blood flow through visualized scoring techniques by use of a microscope as (1) same rate as control, (2) slower rate than control, or (3) no flow (Henry et al 1997).
- As heart area, pericardial edema area, or yolk sac edema area quantified with area analysis by use of a microscope linked digital camera and conventional image software (Dong et al 2010; Teraoka et al 2008; 2014; Yamauchi et al 2006). Images at the same magnification are used to obtain the area measured as number of pixels (Teraoka et al 2008; 2014). This method can use either live individuals or histologic samples. This method is described in detail by Teraoka et al (2003).
- As basic physical measurements such as heart weight, heart aspect ratio (horizontal length versus vertical length), heart weight to body weight ratio (Fujisawa et al 2014).
- As incidence of malformation measured as percent occurrence among individuals (Buckler et al 2015; Dong et al 2010; Park et al 2014; Yamauchi et al 2006). This method is described in detail by Dong et al (2010).

5) As heartbeat rate measured by direct observation by use of a microscope (Park et al 2014). This method is described in detail by Park et al (2014).

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## List of Adverse Outcomes in this AOP

### [Event: 947: Increase, Early Life Stage Mortality](#)

**Short Name: Increase, Early Life Stage Mortality**

### Key Event Component

Process	Object	Action
embryonic lethality		increased
mortality		increased

### AOPs Including This Key Event

AOP ID and Name	Event Type
<a href="#">Aop:150 - Aryl hydrocarbon receptor activation leading to early life stage mortality, via reduced VEGF</a>	AdverseOutcome
<a href="#">Aop:21 - Aryl hydrocarbon receptor activation leading to early life stage mortality, via increased COX-2</a>	KeyEvent
<a href="#">Aop:455 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	AdverseOutcome
<a href="#">Aop:456 - Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	AdverseOutcome

## Stressors

### Name

2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD)

## Biological Context

### Level of Biological Organization

Individual

## Evidence for Perturbation by Stressor

### 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD)

Exposure of embryos to 2,3,7,8-TCDD causes early life stage mortality in all studied species of fishes (Doering et al 2013).

## Domain of Applicability

### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
Vertebrates	Vertebrates	High	<a href="#">NCBI</a>

### Life Stage Applicability

#### Life Stage Evidence

Embryo High

Foetal High

Development High

### Sex Applicability

#### Sex Evidence

Unspecific High

All members of the subphylum vertebrata are susceptible to early life stage death (Weinstein 1999).

## Key Event Description

Increased early life stage mortality refers to an increase in the number of individuals dying in an experimental replicate group or in a population over a specific period of time.

In Birds:

Early life stage mortality occurs at any stage in development prior to birth/hatch and is considered embryoletthal.

In Fishes:

Early Life Stage Mortality refers to death prior to yolk sac adsorption and swim-up.

## How it is Measured or Detected

In birds it may be identified as failure to hatch or lack of movement within the egg when candled; heartbeat monitors are available for identifying viable avian and reptilian eggs (ex. Avitronic's Buddy monitor). In mammals, stillborn or mummified offspring, or an increased rate of resorptions early in pregnancy are all considered embryolethal, and can be detected using ultra-high frequency ultrasound (30-70 MHz; a.k.a. ultrasound biomicroscopy) (Flores *et al.* 2014). In fishes, mortality is typically measured by observation. Lack of any heart beat, gill movement, and body movement are typical signs of death used in the evaluation of mortality.

## Regulatory Significance of the AO

Poor early life stage survival is an endpoint of major relevance to environmental regulators, as it is likely to lead to population decline. Early-life stage, acute and chronic test guidelines have been established by the Organisation for Economic Co-operation and Development (OECD), U.S. Environmental Protection Agency (EPA) and Environment and Climate Change Canada (ECCC), and are currently used in risk assessments to set limits for safe exposures. Aquatic test guidelines are most prevalent and include OECD210, OECD229, EPA850.1400 and ECCC EPS 1/RM/28 for fish and OECD241 for frogs.

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## Appendix 2

### List of Key Event Relationships in the AOP

#### List of Adjacent Key Event Relationships

##### [Relationship: 972: Activation, AhR leads to dimerization, AHR/ARNT](#)

#### AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality, via reduced VEGF</a>	adjacent	High	Moderate
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality, via increased COX-2</a>	adjacent	High	Moderate
<a href="#">Embryonic Activation of the AHR leading to Reproductive failure, via epigenetic down-regulation of GnRHR</a>	adjacent	High	Moderate
<a href="#">AhR activation leading to preeclampsia</a>	adjacent		
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	adjacent	High	Moderate
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	adjacent	High	Moderate

#### Evidence Supporting Applicability of this Relationship

#### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
Mus musculus	Mus musculus	High	<a href="#">NCBI</a>
Danio rerio	Danio rerio	High	<a href="#">NCBI</a>
rainbow trout	Oncorhynchus mykiss	High	<a href="#">NCBI</a>
Pagrus major	Pagrus major	High	<a href="#">NCBI</a>

Term	Scientific Term	Evidence	NCBI Links
Acipenser fulvescens	Acipenser fulvescens	High	<a href="#">NCBI</a>
Salmo salar	Salmo salar	High	<a href="#">NCBI</a>
Acipenser transmontanus	Acipenser transmontanus	High	<a href="#">NCBI</a>
Xenopus laevis	Xenopus laevis	High	<a href="#">NCBI</a>
Ambystoma mexicanum	Ambystoma mexicanum	High	<a href="#">NCBI</a>
Microgadus tomcod	Microgadus tomcod	High	<a href="#">NCBI</a>
human	Homo sapiens	High	<a href="#">NCBI</a>
Gallus gallus	Gallus gallus	High	<a href="#">NCBI</a>
Phasianus colchicus	Phasianus colchicus	High	<a href="#">NCBI</a>
Coturnix japonica	Coturnix japonica	High	<a href="#">NCBI</a>

#### Life Stage Applicability

##### Life Stage Evidence

All life stages High

#### Sex Applicability

##### Sex Evidence

Unspecific High

- The aryl hydrocarbon receptor (AhR) and aryl hydrocarbon receptor nuclear translocator (ARNT) are highly conserved and ancient proteins with homologs having been identified in most major animal groups, apart from the most ancient lineages, such as sponges (Porifera) (Hahn et al 2002).
- *In vitro* dimerization of AhRs and ARNTs have been demonstrated in mammals, birds, reptiles, amphibians, teleost and non-teleost fishes, and some invertebrates (Butler et al 2001; Emmons et al 1999; Hahn et al 2002; Powell-Coffman et al 1998).

#### Key Event Relationship Description

In its unliganded form, the AHR is part of a cytosolic complex containing heat shock protein 90 (HSP90), the HSP90 co-chaperone p23 and AHR-interacting protein (AIP) (Fujii-Kuriyama et al. 2010). Upon ligand binding, the aryl hydrocarbon receptor (AHR) migrates to the nucleus where it dissociates from the cytosolic complex and forms a heterodimer with AHR nuclear translocator (ARNT) (Mimura and Fujii-Kuriyama 2003).

AhRs can heterodimerize with ARNT1 and ARNT2 isoforms in order to activate reporter constructs in transfected cells and recognize response elements in gel shift assays in all investigated vertebrates, including birds, fishes, and reptiles (Abnet et al 1999; Andreasen et al 2002a; 2002b; Bak et al 2013; Doering et al 2014; Doering et al 2015; Farmahin et al 2012; 2013; Hansson & Hahn 2008; Karchner et al 1999; 2006; Lavine et al 2005; Shoots et al 2015; Tanguay et al 1999; 2000; Wirgin et al 2011).

#### Evidence Supporting this KER

##### Biological Plausibility

The mechanism of AHR-mediated transcriptional regulation is well understood (Fujii-Kuriyama and Kawajiri 2010).

Numerous PAS proteins are known to interact with each other in response to environmental and developmental cues through dimerization at their PAS domains (Pohjanvirta 2012).

##### Empirical Evidence

ARNT is a necessary dimerization partner for the transcriptional activation of AHR regulated genes (Hoffman et al. 1991; Poland et al. 1976). The AHR/ARNT complex was confirmed following *in vitro* exposure to halogenated aromatic hydrocarbons using an electrophoretic mobility shift assay; a dose-dependent supershift in DNA-binding was observed using specific antibodies in chicken and human cell lines (Heid et al. 2001).

- Unliganded AhR exists as a cytosolic 9S form, while in the presence of a ligand the AhR exists as a nuclear 6S form. ARNT exists as a nuclear 6S form (Okey 2007).
- The 6S form of AhR is approximately 210 kDa. Ligated AhR is approximately 100 kDa and ARNT is approximately 110 kDa (Elferink et al 1990; Swanson et al 1993).
- Dimerization of AhRs with ARNTs has been demonstrated in all invertebrate and vertebrate species so far investigated (Butler et al 2001; Emmons et al 1999; Hahn et al 2002; Powell-Coffman et al 1998).
- Heterodimers are not formed on response elements in gel shift assays in the absence of AhR and/or ARNT (Tanguay et al 2000).

## Uncertainties and Inconsistencies

- There are uncertainties in the precise physiological and toxicological roles of different AhR clades (AhR1, AhR2, AhR3) and isoforms ( $\alpha$ ,  $\beta$ ,  $\delta$ ,  $\gamma$ ).
- There are uncertainties in the precise physiological and toxicological roles of different ARNT clades (ARNT1, ARNT2, ARNT3) and isoforms (a, b, c).
- Nothing is known about differences in binding affinity of AhR for ARNT and of the AhR/ARNT heterodimer for DNA among species and taxa.
- There is uncertainty in whether anthropogenic contaminants that act as ligands of the AhR and lead to dimerization of AhR with ARNT in vertebrates also act as ligands in invertebrates.

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### [Relationship: 2683: dimerization, AHR/ARNT leads to Increase, slincR expression](#)

#### AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	adjacent	Moderate	Moderate
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	adjacent	Moderate	Moderate

#### Evidence Supporting Applicability of this Relationship

##### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
zebrafish	<i>Danio rerio</i>	High	<a href="#">NCBI</a>
mouse	<i>Mus musculus</i>	High	<a href="#">NCBI</a>
human	<i>Homo sapiens</i>	Moderate	<a href="#">NCBI</a>

##### Life Stage Applicability

Life Stage	Evidence
Embryo	High
Development	High

##### Sex Applicability

Sex	Evidence
Unspecific	High

- Ahr activation (and thus, the dimerization of Ahr/ARNT) resulting in significant slincR induction of expression has only been investigated in zebrafish and mice (Garcia et al., 2017; Garcia et al., 2018).

#### Key Event Relationship Description

- Dimerization of Ahr/ARNT take place when the ligand-activated Ahr translocates to the nucleus from the cytoplasm.
- The Ahr/ARNT heterodimer can recognize aryl hydrocarbon response elements (AHREs), also known as xenobiotic response elements (XREs) or dioxin response elements (DREs), in the promoter region of downstream genes to regulate gene expression (Schmidt and Bradfield 1996). The target genes can either increase or decrease in their expression.
- slincR expression significantly increases when zebrafish are exposed to TCDD, and the slincR promoter includes the core AHRE (5'-T/GCGTG-3') in multiple locations (Garcia et al., 2017), suggesting that slincR is a direct downstream target of the Ahr/ARNT heterodimer.

#### Evidence Supporting this KER

## Biological Plausibility

- Eight putative AHREs have been identified in the slincR promoter of the zebrafish gene (Garcia et al., 2017).
- The potential orthologs of slincR in the mouse and human genomes also have conserved AHREs (Garcia et al., 2018).

## Empirical Evidence

### Empirical evidence and essentiality of KE<sub>up</sub> for KE<sub>down</sub> to occur

- Expression of slincR is significantly increased when zebrafish are exposed to TCDD (Garcia et al., 2017). TCDD is a strong Ahr activating ligand that causes the dimerization of Ahr and ARNT, and ARNT1 in zebrafish has been shown to be required for TCDD-induced toxicity (Prasch et al., 2006).
- When AHR2-null zebrafish generated using CRISPR-Cas9 are exposed to TCDD, slincR expression at 48 hours post fertilization (hpf) is significantly lower than wildtype zebrafish exposed to TCDD (Garcia et al., 2017).
- slincR expression is significantly induced upon exposure to several polycyclic aromatic hydrocarbons (PAHs), many of whom are Ahr activating chemicals (Garcia et al., 2018). The PAHs that induce slincR expression are retene, benzo[*j*]fluoranthene, benzo[*k*]fluoranthene, dibenzo[*a,h*]pyrene, dibenzo[*a,i*]pyrene, and benzo[*b*]fluoranthene.
- TCDD-exposed embryonic mouse urogenital tissue samples showed significant increase in expression of the mouse slincR ortholog (2610035D17Rik) compared to the vehicle control, DMSO (Garcia et al., 2018).

## Uncertainties and Inconsistencies

- Certain PAHs, such as fluoranthene, phenanthrene, and 9-methylanthracene that significantly induce cyp1a greater than  $\log_2 FC = 2$ , indicating that Ahr has been activated, do not induce slincR expression in zebrafish (Garcia et al., 2018).

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## [Relationship: 2684: Increase, slincR expression leads to Decrease, sox9 expression](#)

### AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	adjacent	Moderate	Moderate
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	adjacent	Moderate	Moderate

## Evidence Supporting Applicability of this Relationship

### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
zebrafish	Danio rerio	High	<a href="#">NCBI</a>
mouse	Mus musculus	Moderate	<a href="#">NCBI</a>
human	Homo sapiens	Moderate	<a href="#">NCBI</a>

### Life Stage Applicability

**Life Stage Evidence**

Embryo High

Development High

**Sex Applicability****Sex Evidence**

Unspecific High

- The interaction between SlincR and sox9 (sox9b, specifically) has only been investigated in zebrafish.

**Key Event Relationship Description**

- Sox9b (one of two paralogs of the sox9 gene in zebrafish) is one of the most reduced transcripts in the jaw upon TCDD exposure in zebrafish (Xiong et al., 2008).
- One question that remained unanswered was the possible mechanisms by which Ahr was regulating sox9b expression, given that even though there are eight putative AHREs near the sox9b promoter, its repression in zebrafish does not occur immediately after TCDD exposure (Xiong et al. 2008).
- slincR is a long non-coding RNA (lncRNA) that was recently discovered in zebrafish. Multiple lines of evidence from zebrafish experiments point to slincR being the intermediate between Ahr activation and sox9b repression (Garcia et al., 2017; Garcia et al., 2018).

**Evidence Supporting this KER****Biological Plausibility**

- The nature of lncRNAs is such that they have diverse functions and can regulate gene expression at multiple levels, including by interacting with DNA, RNA, proteins, and altering transcription of both neighboring and distant genes (Statello et al., 2021).
- slincR (in situ hybridization) and sox9b (immunohistochemistry for sox9b-eGFP) are expressed in adjacent and overlapping tissues through multiple stages of zebrafish development, such as in the eye, otic vesicle, and in the lower jaw (Garcia et al., 2017).
- A capture hybridization analysis of RNA targets (CHART) experiment in both DMSO- and TCDD-exposed 48 hpf zebrafish identified enrichment of slincR in the 5'UTR of the sox9b locus (Garcia et al., 2018) suggesting possible interaction between slincR and sox9b.

**Empirical Evidence**Empirical evidence and essentiality of KE<sub>up</sub> for KE<sub>down</sub> to occur

- Upon Ahr activation with TCDD, slincR expression significantly increases at concentrations lower (0.0625 ng/mL) than when sox9b expression is significantly repressed (0.5 ng/mL) demonstrating that slincR induction precedes sox9b repression.
- When slincR expression is knocked down using a morpholino, normal sox9b expression levels and spatial pattern are altered during zebrafish development (Garcia et al., 2017). Specifically, in slincR morphants exposed to DMSO or TCDD, sox9b expression was significantly higher than in control morphant zebrafish.
- When slincR expression is knocked down using a morpholino, several downstream target genes of sox9b, such as, notch3, adamts3, fabp2, sfrp2, and fgfr3 were altered in their gene expression compared to control morphants (Garcia et al., 2017).

**Uncertainties and Inconsistencies**

- Six individual PAHs, retene, benzo[*ji*]fluoranthene, benzo[*k*]fluoranthene, dibenzo[*a,h*]pyrene, dibenzo[*a,i*]pyrene, and benzo[*b*]fluoranthene, significantly induced slincR expression in whole-animal zebrafish, however no repression of sox9b was detected in any of the PAHs (Garcia et al., 2018).
- Morpholino knockdown of sox9b in zebrafish led to a significant increase in slincR expression suggesting that slincR and sox9b may share overlapping regulatory networks that is not fully understood (Garcia et al., 2018).
- We note that slincR is not the only mechanism of regulation of sox9. Other studies have found evidence for different regulatory mechanisms of sox9, but the circumstances under which different pathways are turned on is still unknown (Dash et al., 2021; Fu et al., 2018).

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### Relationship: 2691: Decrease, sox9 expression leads to Altered, Cardiovascular development/function

#### AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	adjacent	Moderate	Moderate

#### Evidence Supporting Applicability of this Relationship

##### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
zebrafish	Danio rerio	High	<a href="#">NCBI</a>
mouse	Mus musculus	High	<a href="#">NCBI</a>
human	Homo sapiens	High	<a href="#">NCBI</a>
Salmo salar	Salmo salar	Moderate	<a href="#">NCBI</a>
chicken	Gallus gallus	Moderate	<a href="#">NCBI</a>

##### Life Stage Applicability

Life Stage	Evidence
Embryo	High
Development	High

##### Sex Applicability

Sex	Evidence
Unspecific	High

The KER is likely relevant for all vertebrate species.

#### Key Event Relationship Description

- Sox9 is an important transcriptional regulator that has been implicated in several functions including cardiovascular development (Akiyama et al., 2004).
- Additionally, exposure of different animals to relevant environmental pollutants leads to a significant decrease of sox9 expression (Garcia et al., 2017; Shi et al., 2017; Tussellino et al., 2016).
- This KER provides lines of evidence linking the sox9 repression to alterations in cardiovascular system development and function.

#### Evidence Supporting this KER

KER 2691 concordance

table: [https://aopwiki.org/system/dragonfly/production/2022/10/20/39ueqelreb\\_Concordance\\_Table\\_sox9\\_to\\_cardiovascular\\_clean.pdf](https://aopwiki.org/system/dragonfly/production/2022/10/20/39ueqelreb_Concordance_Table_sox9_to_cardiovascular_clean.pdf)

### Biological Plausibility

- Several studies in different organisms such as rodents, chicken, frogs, and fish, have identified both sox9 mRNA and protein spatiotemporal expressions in the developing heart (Gallina and Lincoln 2019; Guo et al., 2010; Lee and Saint-Jeannet 2009; Liu et al., 2007; Montero et al., 2002; Ng et al., 1997; Plavicki et al., 2014; Rahkonen et al., 2003; Zhao et al., 2007).
- Using chip-seq, the sox9 protein has been found to interact with the genomic regions of proliferation genes as well as important transcription factors involved in mouse heart development (Garside et al., 2015), making it conceivable that the loss of sox9 can have a significant impact on cardiovascular development.
- Zebrafish exposed to 1ng/mL TCDD have reduced sox9b (one of two paralogs of sox9 in zebrafish) expression in the heart (Hofsteen et al., 2013).
- In a mouse embryonic study, the loss of kruppel-like factor 2 (part of the zinc-finger family of transcription factors) led to obvious heart malformations, while also repressing expression of sox9 (Chiplunkar et al., 2013).

### Empirical Evidence

#### Empirical evidence and essentiality of KE<sub>up</sub> for KE<sub>down</sub> to occur

- Mutations in the sox9 gene locus as well as in the gene regulatory region in humans have been associated with chronic and congenital heart diseases (Gong et al., 2022; Sanchez-Castro et al., 2013) suggesting the importance of normal sox9 expression levels for normal cardiovascular development. These results were also recapitulated in goats, where a 4bp deletion in the 3'UTR of the sox9 gene altered the girth of the developing hearts (He et al., 2020).
- Cardiomyocyte-specific dominant negative sox9b led to several abnormalities in zebrafish cardiovascular structure and function, including reduced end diastolic volume and epicardium formation (Gawdzik et al., 2018). Inhibition of sox9b expression also led to downstream changes in several cardiac development-related genes such as nkh2.5, nkh2.7, myl7, and c-fos. Another study found that sox9b morpholino knockdown led to prevention of epicardium progenitors and formation of valve cushions and leaflets, as well as the zebrafish having apparent cardiovascular deformities such as pericardial edema (Hofsteen et al., 2013).
- Multiple studies investigating the impact of the loss of sox9 in mice, including one conditional inactivation study in endothelial cells and another using cre-lox generated sox9 mutants, show the significant effects on cardiovascular development and functioning in the absence of sox9 (Akiyama et al., 2004; Lincoln et al., 2007).
- Developing zebrafish exposed to a concentration range of TCDD show trends of repressing sox9b gene expression from 0.125 ng/mL, while zebrafish have apparent pericardial edema at 0.25 ng/mL TCDD exposure (Garcia et al., 2018).
- Salmon larvae exposed to the dioxin-like PCB-77 repressed sox9 at 500 day degrees at both exposure concentrations (1 or 10 ng/L), while also inducing cardiac edema and an arrhythmic effect on the heart (Olufsen and Arukwe 2011).

### Uncertainties and Inconsistencies

- Important to point out that not all chemicals that induce developmental cardiovascular toxicity induce sox9 expression. For example, developmental zebrafish exposed to the fungicide, procymidone, significantly increased sox9b expression despite the fish having significant pericardial edema (Wu et al., 2018).
- One study investigated sox9b expression (on a microarray) in heart tissue from zebrafish exposed to 1ng/mL TCDD and did not detect sox9b repression, despite the same study identifying sox9b repression in the zebrafish jaws (Xiong et al. 2008). The resolution of the microarray experiment might not have been good enough to detect sox9b repression which has been identified in other studies (Hofsteen et al., 2013).

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### [Relationship: 1567: Altered, Cardiovascular development/function leads to Increase, Early Life Stage Mortality](#)

#### **AOPs Referencing Relationship**

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality, via reduced VEGF</a>	adjacent	High	Low
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality, via increased COX-2</a>	adjacent	High	Low

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	High	Low	Low

## Evidence Supporting Applicability of this Relationship

### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
mammals	mammals	High	<a href="#">NCBI</a>
fish	fish	High	<a href="#">NCBI</a>
chicken	Gallus gallus	High	<a href="#">NCBI</a>

### Life Stage Applicability

#### Life Stage Evidence

Embryo High

### Sex Applicability

#### Sex Evidence

Unspecific High

Cardiovascular remodelling and cardiac failure leading to embryo death has been observed in mammals (kopf and Walker 2009, Thakur et al.2013), fish (kopf and Walker 2009) and chickens (kopf and Walker 2009). Although the chick is preferentially used as a lab model for developmental studies, this KER likely extends to other avian species as well.

## Key Event Relationship Description

Changes in heart morphology can result in decreased cardiac output and are associated with myocardial disease, abnormalities in cardiac loading, rhythm disorders, ischemia (restriction in blood supply to tissues, causing a shortage of oxygen and glucose needed for cellular metabolism), and cardiac compression. Severe cardiac dysfunction can result in congestive fetal heart failure (inability of the heart to deliver adequate blood flow to organs) leading to fluid build-up in tissues and cavities (edema and effusion, respectively). Fluid buildup exerts a positive pressure on fetal cardiac chambers, which further limits the diastolic ventricular filling reserve, potentiating the diminished cardiac output and leading to fetal death (Thakur et al. 2013).

It remains unclear whether edema plays an essential role in causing fetal death, or whether it simply accelerates the rate of deterioration; nonetheless, it is a reliable indicator of cardiotoxicity.

## Evidence Supporting this KER

### Biological Plausibility

The connection between altered cardiovascular development during embryogenesis, diminished cardiac output and embryonic death have been well studied (Thakur et al. 2013; kopf and Walker 2009)

### Empirical Evidence

- The most common cause of infant death due to birth defects is congenital cardiovascular malformation (Kopf and Walker 2009)
- At low doses of dioxin-like compounds, disrupted heart looping (Henshel et al. 1993), congenital heart defects, (Cheung et al. 1981) and impaired contraction of cardiac myocytes (Canga et al. 1993) were observed in chick embryos without the onset of edema. Whereas at higher doses edema and embryo death are increased (Walker et al. 1997).
- Changes in heart morphology consistent with dilated cardiomyopathy (decreased cardiac output and ventricular cavity expansion) were observed in chick embryos exposed to 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD) followed by progression to congestive heart failure.
- Changes in heart morphology and decreases in cardiac output and peripheral blood flow precede heart failure in Zebrafish (Antkiewicz et al. 2005; Belair et al. 2001; Henry et al. 1997; Plavicki et al. 2013)
- When mannitol is used as a protective agent against chemical-induced edema in zebrafish, cardiotoxic effects are still observed; therefore, edema is secondary to cardiotoxicity (Antkiewicz et al. 2005; Plavicki et al. 2013)
- Edema is a hallmark sign of cardio-developmental toxicity in fish, chick, and mammalian species exposed to strong AHR agonists early in embryogenesis (Carney et al. 2006)
  - Note that it presents as pericardial and yolk sac edema in fish, pericardial, peritoneal and subcutaneous edema on chicks, and peritoneal and subcutaneous edema in mice.

### Uncertainties and Inconsistencies

There is no doubt that severely altered cardiovascular development early in embryogenesis causes embryonic death, however the precise sequence of events leading to heart failure remains to be elucidated.

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## List of Non Adjacent Key Event Relationships

### [Relationship: 2688: Activation, AhR leads to Decrease, sox9 expression](#)

#### AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced impeded craniofacial development</a>	non-adjacent	Moderate	Low
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	non-adjacent	High	Low

## Evidence Supporting Applicability of this Relationship

### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
zebrafish	<i>Danio rerio</i>	High	<a href="#">NCBI</a>
human	<i>Homo sapiens</i>	Moderate	<a href="#">NCBI</a>
<i>Salmo</i> <i>salar</i>	<i>Salmo</i> <i>salar</i>	Moderate	<a href="#">NCBI</a>
<i>Sebastiscus</i> <i>marmoratus</i>	<i>Sebastiscus</i> <i>marmoratus</i>	High	<a href="#">NCBI</a>

**Life Stage Applicability**

Life Stage	Evidence
Embryo	High
Development	High

**Sex Applicability**

Sex	Evidence
Unspecific	High

- The relationship between Ahr activation and sox9 repression is best studied in developing zebrafish. Some supporting evidence comes from salmon larvae, as well as human lung cells, suggesting that this relationship is highly evolutionarily conserved among vertebrates (at least), but also likely tissue-specific.

**Key Event Relationship Description**

- The Ahr is a ligand activated transcription factor that is capable of regulating gene expression of several genes, all belonging to the Ahr signaling cascade (Larigot et al., 2018).
- Canonical Ahr signaling involves receptor translocation from the cytoplasm to the nucleus, followed by Ahr-ARNT heterodimerization. The heterodimer then recognizes Aryl hydrocarbon response elements (AHREs) in the promoter regions of different genes to regulate their expression (Swanson 2002). Indirect gene regulation is also possible, with the downstream target genes interacting with other signaling pathways (Mathew et al., 2008).
- Sox9 is one proposed indirect gene within the Ahr signaling cascade. Sox9b, one of two paralogs of the sox9 gene in zebrafish, is one of the most reduced transcripts in the jaw upon TCDD exposure in zebrafish (Xiong et al., 2008). Thus, there exists a non-adjacent relationship between Ahr activation and the repression of sox9.

**Evidence Supporting this KER**

## KER 2688 concordance

table: [https://aopwiki.org/system/dragonfly/production/2022/10/20/7inngdvxht\\_Concordance\\_Table\\_AHR\\_to\\_sox9\\_clean.pdf](https://aopwiki.org/system/dragonfly/production/2022/10/20/7inngdvxht_Concordance_Table_AHR_to_sox9_clean.pdf)

**Biological Plausibility**

- Evidence for biological plausibility comes from Ahr's ability to interact with several molecular signaling pathways, including the Wnt-beta catenin pathway (Mathew et al. 2008). Sox9 is one important member of the Wnt-beta catenin signaling pathway, specifically as it relates to chondrogenesis (Sinha et al., 2021; Topol et al., 2009).

**Empirical Evidence**Empirical evidence and essentiality of KE<sub>up</sub> for KE<sub>down</sub> to occur

- Developing zebrafish exposed to 1ng/mL TCDD significantly repress sox9b (one of two paralogs of sox9 in zebrafish) by approximately 2-fold in the heart tissue at 72 hours post fertilization (hpf) (Hofsteen et al., 2013).
- sox9b is significantly repressed in 72-hpf whole animal zebrafish exposed to 2nM TCDD (Jenny et al., 2009). In the same study, knockdown of AHRB caused a significant decrease in sox9b mRNA expression in the absence of TCDD exposure, suggesting some level of endogenous Ahr control of sox9.
- Whole animal zebrafish exposed to a concentration range of TCDD significantly repress sox9b from 0.5 ng/mL exposure concentration, with cyp1a, a biomarker of Ahr activation, significantly induced from 0.0625 ng/mL (Garcia et al., 2018b).
- A sox9b reporter zebrafish line exposed to 1ng/mL TCDD showed a trend for sox9b repression measured using qRT-PCR (Garcia et al., 2017).
- 96-hpf zebrafish exposed to 1ng/mL TCDD induces cyp1a and represses sox9b in parallel in isolated jaw tissue over multiple time points after exposure (Xiong et al., 2008).
- In regenerating fin tissue after 2 or 3 days post caudal fin amputation of 48-hpf zebrafish exposed to 1ng/mL TCDD, sox9b was one of the most repressed transcripts (Mathew et al., 2008).
- Additionally, one of the most repressed genes in caudal fins from adult zebrafish IP injected with TCDD was also sox9b (Andreasen et al., 2006).
- In whole atlantic salmon larvae exposed to 1 or 10 ng/L PCB-77, sox9 mRNA was significantly reduced (by 50% compared to controls) only till 500 dd, after which, non-significant or significant increases were detected at both concentrations (Olufsen and Arukwe 2011).
- In A549 pulmonary epithelial cells, individual exposures to TCDD, the PAHS Benzo[a]pyrene and benzo[k]fluoranthene, as well

as a non-cytotoxic concentration of ambient aerosol particle fraction PM0.5, significantly repressed sox9 expression while also inducing cyp1a expression (Prochazkova et al., 2018; Simeckova et al., 2019).

- Developing zebrafish exposed to 0.5, 5, and 50 nM pyrene (a known Ahr activating chemical), had concentration-dependent significant sox9 repression in the craniofacial skeleton (seen using *in situ* hybridization), as well as concentration-dependent craniofacial deformations (Shi et al., 2012).
- Ahr2 knockout zebrafish with 1ng/mL TCDD exposure did not have significantly reduced sox9b expression at 48 hpf (Garcia et al., 2018a).
- In one study, adult white sturgeon were exposed to equipotent concentrations of TCDD, PCB-77, and BaP. Repression of sox9 transcript was identified in the livers of fish exposed to all three chemicals (Doering et al., 2016).

### Uncertainties and Inconsistencies

- Whole animal zebrafish exposed to several individual PAHs, many of whom significantly induce cyp1a by 48 hpf, do not cause significant repression of sox9b (Garcia et al., 2018b). The PAHs are retene, benzo[*jj*]fluoranthene, benzo[*k*]fluoranthene, dibenzo[*a,h*]pyrene, benzo[*b*]fluoranthene, fluoranthene, phenanthrene, and 9-methylanthracene. Dibenzo[*a,i*]pyrene was the only PAH from the list that showed a trend for sox9b reduction. One explanation is that the possible tissue-specific sox9b repression was not enough to capture expression changes in this whole-animal study where zebrafish were exposed to Ahr activators not as strong as TCDD.
- A microarray study investigating gene expression changes in the jaw primordium of zebrafish exposed to TCDD from 1 to 24 hpf did not include either paralog of sox9 in the top downregulated gene list (Planchart and Mattingly 2010). It is possible that sox9 was not present in the microarray.
- In a human glioblastoma cell culture study, sox9 was repressed when ARNT2 was knocked down, in addition to the study identifying potential binding regions of ARNT2 in the regulatory region of sox9 (Bogea et al., 2018). While no functional studies were conducted, it is possible that there may be cell-specific direct regulation of sox9 by Ahr/ARNT.
- In frozen human lung tumor samples, expression of sox9 was significantly higher in smokers compared to in samples from non-smokers. Additionally, in adenocarcinomas in smoking women, sox9 expression was relatively high. Of note, these results were accompanied by the lack of induction of Ahr expression (Szymanowska-Narloch et al., 2013).

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### [Relationship: 2727: Increase, slincR expression leads to Altered, Cardiovascular development/function](#)

#### AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	non-adjacent	Moderate	Moderate

#### Evidence Supporting Applicability of this Relationship

##### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
zebrafish	<i>Danio rerio</i>	Moderate	<a href="#">NCBI</a>

##### Life Stage Applicability

Life Stage	Evidence
Development	High
Embryo	High

##### Sex Applicability

Sex	Evidence
Unspecific	High

Evidence for this KER comes from zebrafish studies.

#### Key Event Relationship Description

- Cardiovascular toxicity is a common phenotypic endpoint detected in a variety of animals including fishes and birds upon exposure to Ahr activating environmental chemicals such as PAHs and dioxin (Incardona et al., 2009; Kopf and Walker 2009; Marris et al., 2020).
- This KER describes one molecular player (slincR) that seems to be involved in some aspect of Ahr activation-induced cardiovascular toxicity.

#### Evidence Supporting this KER

### Biological Plausibility

- Individual exposures to the PAHs, retene, dibenzo[a,h]pyrene, and dibenzo[a,i]pyrene cause cyp1a vascular expression as well as a significant induction of slincR at 48 hours post fertilization (hpf) (Garcia et al., 2018; Geier et al., 2018), suggesting the possibility of slincR involved in some aspect of cardiovascular function.
- Knockdown of slincR expression in developing zebrafish, alters expression of sox9b (a critical transcription factor that has been shown to be involved in cardiovascular development (Akiyama et al., 2004; Gawdzik et al., 2018)), as well as certain downstream targets of sox9, such as notch3, adamts3, fabp2, sfrp2, and fgfr3 (Garcia et al., 2017).

### Empirical Evidence

#### Empirical evidence and essentiality of KE<sub>up</sub> for KE<sub>down</sub> to occur

- Knockdown of slincR in zebrafish using a morpholino technique was utilized in (Garcia et al., 2018) to study possible functions of slincR during development. The study found that several processes related to angiogenesis and vasculature development were highly enriched in the transcriptomics dataset comparing slincR morphants and control animals.
- SlincR morphants exposed to 1ng/mL TCDD had a reduced percentage of blood hemorrhaging compared to control zebrafish exposed to the same concentration of TCDD (Garcia et al., 2018).

### Uncertainties and Inconsistencies

- Impact of absence of slincR has only been studied with morpholino knockdown experiments (Garcia et al., 2017; Garcia et al., 2018), which have two relevant drawbacks: 1. Inability to maintain slincR repression by 72 hpf since morpholinos are transient in nature, and 2. Incomplete functional knockout which prevents us from understanding the true impact of the absence of slincR. Future studies using CRISPR-Cas-generated knockout lines, for example, will help overcome both limitations.

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#### Relationship: 984: Activation, AhR leads to Increase, Early Life Stage Mortality

### AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality, via reduced VEGF</a>	non-adjacent	High	Moderate
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality, via increased COX-2</a>	non-adjacent	High	Moderate
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	non-adjacent	High	Moderate
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9</a>	non-		

repression induced impeded craniofacial development AOP Name		adjacent Adjacency	High Weight of Evidence	Moderate Quantitative Understanding																																																																											
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<ul style="list-style-type: none"> <li>Overall, this KER is believed to be applicable to all vertebrates based on mortality as a result of exposure to known agonists of the AhR (Buckler et al 2015; Cohen-Barnhouse et al 2011; Elonen et al 1998; Johnson et al 1998; Jung et al 1997; Kopf &amp; Walker 2009; Park et al 2014; Tillitt et al 2016; Toomey et al 2001; Walker et al 1991; Wang et al 2013; Yamauchi et al 2006; Zabel et al 1995).</li> </ul>																																																																															
<ul style="list-style-type: none"> <li>The correlation between AHR-mediated reporter gene activity and embryo death has been demonstrated in species of birds and fishes (Doernig et al 2018).</li> <li>Less is known about differences in binding affinity of AhRs and how this relates to sensitivity in reptiles or amphibians.</li> <li>Low binding affinity for DLCs of AhR1s of African clawed frog (<i>Xenopus laevis</i>) and axolotl (<i>Ambystoma mexicanum</i>) has been suggested as a mechanism for tolerance of these amphibians to DLCs (Lavine et al 2005; Shoots et al 2015).</li> <li>Among reptiles, only AhRs of American alligator (<i>Alligator mississippiensis</i>) have been investigated and little is known about the sensitivity of American alligator or other reptiles to DLCs (Oka et al 2016).</li> <li>Among fishes, great differences in sensitivity to DLCs are known both for AhRs and for embryos among species that have been tested (Doering et al 2013; 2014; 2018).</li> <li>Differences in binding affinity of the AhR2 have been demonstrated to explain differences in sensitivity to DLCs between sensitive and tolerant populations of Atlantic Tomcod (<i>Microgadus tomcod</i>) (Virgin et al 2011).</li> </ul>																																																																															
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<p>The aryl hydrocarbon receptor is commonly known for its involvement in xenobiotic metabolism and clearance, but it also regulates a number of endogenous processes including angiogenesis, immune responses, neuronal processes, metabolism, and development of numerous organ systems (Duncan et al., 1998; Emmons et al., 1999; Hahn et al 2002; Lahvis and Bradfield, 1998). Strong AHR agonists that cause <u>sustained</u> AHR activation interfere with the receptor's endogenous role in embryogenesis, which causes numerous developmental abnormalities and ultimately leads to embryonic death (Kopf and Walker 2009; Carreira et al 2015).</p> <p>It's important to note that this relationship only applies to AHR agonists that cause sustained AHR activation. Strong AHR agonists</p>																																																																															

that are rapidly metabolized, such as polycyclic aromatic hydrocarbons, only cause transient AHR activation leading to an alternate mode of toxicity.

This Key Event Relationship describes the indirect link between the Molecular Initiating Event (activation of the AhR) and the Adverse Outcome (increased early life stage mortality).

## Evidence Supporting this KER

### Biological Plausibility

#### AHR Ligand Binding Domain

- Mammalian and avian sensitivity to DLCs ultimately comes down to the identity of two particular amino acids in the ligand binding domain (LBD) of the AHR: positions 375 and 319 in mice and 380 and 324 in birds.
  - A 10-fold difference between two strains of mice (non-responsive DBA/2 mouse, and responsive C57BL/6 14 mouse) in CYP1A induction, lethality and teratogenicity following TCDD exposure (Poland et al. 1976), was attributed to a single nucleotide polymorphism at position 375 (Ema et al. 1994; Poland et al. 1994; Poland and Knutson 1982).
  - Several other studies reported the importance of this amino acid in birds and mammals (Backlund and Ingelman-Sundberg 2004; Ema et al. 1994; Karchner et al. 2006; Murray et al. 2005; Pandini et al. 2007; Pandini et al. 2009; Poland et al. 1994; Ramadoss and Perdew 2004).
- The amino acid at position 319 plays an important role in ligand-binding affinity to the AHR and transactivation ability of the AHR, due to its involvement in LBD cavity volume and its steric effect (Pandini et al. 2009).
  - Mutation at position 319 in the mouse eliminated AHR DNA binding (Pandini et al. 2009).

#### Using AHR LBD Constructs to Determine Avian Sensitivity

- Using chimeric AHR1 constructs combining three AHR1 domains (DBD, LBD and TAD) from the chicken (highly sensitive to DLC toxicity) and common tern (resistant to DLC toxicity), Karchner and colleagues (2006), showed that amino acid differences within the LBD were responsible for differences in TCDD sensitivity between the chicken and common tern.
  - They specifically attributed positions 324 and 380 with differences in TCDD binding affinity and transactivation between the chicken (Ile324\_Ser380) and common tern (Val324\_AlA380) receptors.
- The LBD of over 85 bird species have since been analyzed to find that 6 amino acid residues differed among species (Farmahin et al. 2013; Head et al. 2008), but only positions 324 and 380 in the AHR1 LBD were associated with differences in DLC toxicity in ovo and AHR1-mediated gene expression in vitro (Farmahin et al. 2013; Head et al. 2008; Manning et al. 2012).
  - Based on these results, avian species can be divided into one of three AHR1 types based on the amino acids found at positions 324 and 380 of the AHR1 LBD: type 1 (Ile324\_Ser380; most sensitive), type 2 (Ile324\_AlA380; moderately sensitive) and type 3 (Val324\_AlA380; least sensitive) (Farmahin et al. 2013; Head et al. 2008; Manning et al. 2012).
  - A sampling of bird species and their AHR LBD category is described in table 1. A list of 86 species and their subtype can be found in Farmahin et al. (2013).

Table 1

AHR1 subtypes identified based on predicted amino acid sequences of the avian AHR1 ligand binding domain (LBD). Avian AHR1 LBD sequences from 86 species were obtained from GenBank or were determined from liver or blood samples obtained from the National Wildlife Research Centre, Ottawa, ON, commercial suppliers near Ottawa, ON, or the Tittabawassee River basin, Michigan, USA

AHR 1 subtype	256	257	297	LBD amino acid residues			Examples <sup>b</sup>
				324 <sup>a</sup>	337	380 <sup>a</sup>	
1A	A	A	T	I	V	S	Domestic chicken ( <i>Gallus gallus domesticus</i> )
1B	A	A	T	I	I	S	European starling ( <i>Sturnus vulgaris</i> )
1C	A	T	T	I	I	S	Gray catbird ( <i>Dumetella carolinensis</i> )
2A	A	A	I	I	V	A	Wild turkey ( <i>Meleagris gallopavo</i> )
2B	A	A	T	I	I	A	Indigo bunting ( <i>Passerina cyanea</i> )
2C	A	A	V	I	V	A	Rock ptarmigan ( <i>Lagopus muta</i> )
2D	A	P	T	I	V	A	Spotted sandpiper ( <i>Actitis macularius</i> )
2E	A	T	T	I	I	A	Tree swallow ( <i>Tachycineta bicolor</i> )
2F	A	T	T	I	V	A	Black-footed albatross ( <i>Phoebastria nigripes</i> )
2G	T	A	I	I	V	A	Ring-necked pheasant ( <i>Phasianus colchicus</i> )
3A	A	A	T	V	V	A	Japanese quail ( <i>Coturnix japonica</i> )
3B	A	T	T	V	V	A	Herring gull ( <i>Larus argentatus</i> )
3C	T	T	T	V	V	A	Wood duck ( <i>Aix sponsa</i> )

<sup>a</sup>Amino acid residues at positions 324 and 380 were used to classify species into three major AHR1 types, which are indicated by white (type 1), light grey (type 2), and dark grey (type 3) shading.

<sup>b</sup>The full list of 86 species is presented in (Farmahin, R. et al.(2013). *Toxicol. Sci.* **131**(1), 139-152)

(Source: Manning, G. E. et al. (2012). *Toxicol. Appl. Pharmacol.* **263**(3), 390-399)

### Empirical Evidence

#### Mammals:

- AhR deficient strains of mice (*Mus musculus*) are unaffected by exposure to agonists of the AhR (Fernandez-Salguero et al 1996).
- Strains of mice that express AhRs with lesser affinity for agonists are more tolerant to adverse effects of exposure relative to strains of mice that express AhRs with greater affinity for agonists (Bisson et al 2009; Ema et al 1993).

**Birds:**

Binding of dioxin-like compounds (DLCs) to avian AHR1 (Farmahin et al. 2014; Karchner et al. 2006) and AHR1-mediated transactivation measured using luciferase reporter gene (LRG) assays have been demonstrated in domestic and wild species of birds (Farmahin et al. 2012; Farmahin et al. 2013b; Fujisawa et al. 2012; Lee et al. 2009; Manning et al. 2012; Mol et al. 2012), and binding affinity was found to be strongly correlated with embryotoxicity (Manning et al. 2012).

**Fish:**

- Knockdown of the AhR2 prevents mortality following exposure to agonist of the AhR in fishes (Clark et al 2010; Hanno et al 2010; Prasch et al 2003; Van Tiem & Di Giulio 2011). Relative potencies of dioxin-like compounds for activation of AhR2 alpha of rainbow trout (*Oncorhynchus mykiss*) is predictive of relative potencies for early life stage mortality (Abnet et al 1999).
- AhR2-mediated transactivation measured using luciferase reporter gene (LRG) assays have been demonstrated in 8 species of freshwater and marine fishes to strongly correlate with early life stage mortality (Doering et al 2018). However, AhR1-mediated transactivation does not (Doering et al 2018). Further, the slope and y-intercept for the relationship between AhR2-mediated transactivation and early life stage mortality in fishes are not statistically different from the slope and y-intercept for the relationship between AhR1-mediated transactivation and embryotoxicity (Doering et al 2018).

**Amphibians:**

- AhR1s of amphibians studied to date are insensitive to activation by dioxin-like compounds *in vitro*, while amphibians studies to date are extremely tolerant to adverse effects of exposure to dioxin-like compounds *in vivo* (Jung et al 1997; Lavine et al 2005; Shoots et al 2015).

**Invertebrates:**

- Chemicals that activate the AhR of vertebrates are not known to bind AhRs of invertebrates and increased mortality in invertebrates has never been observed as a result of exposure to these agonists (Hahn 2002; Hahn et al 1994).

**Uncertainties and Inconsistencies**

Interestingly, interference with endogenous AHR functions, either by knock-out or by agonist exposure during early development, causes similar cardiac abnormalities (Carreira et al 2015). Although this is counterintuitive, it demonstrates that the AHR has an optimal window of activity, and deviation either above or below this range results in toxicity.

**Uncertainties:**

- Only limited AhR activation information and mortality information is currently available for reptiles and amphibians.
- Despite decades of research into the molecular initiating event (i.e., binding of chemicals to the AhR) and resulting adverse outcomes (i.e. mortality), less is known about the precise cascade of key events that link activation of the AhR to the adverse outcome (Doering et al 2016).
- However, hundreds to thousands of different genes are regulated, either directly or indirectly, by activation of the AhR, which presents major uncertainties in the precise pathway of key events or whether perturbation to multiple pathways is the cause of mortality (Brinkmann et al 2016; Doering et al 2016; Huang et al 2014; Li et al 2013; Whitehead et al 2010).
- Despite these uncertainties in the AOP, considerable research has investigated the indirect relationship between activation of the AhR and increased mortality among different chemicals, species, and taxa (Doering et al 2013).

**Inconsistencies:**

- There are no currently known inconsistencies between AhR activation and increased mortality among vertebrates.

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### [Relationship: 2765: Activation, AhR leads to Altered, Cardiovascular development/function](#)

#### AOPs Referencing Relationship

AOP Name	Adjacency	Weight of Evidence	Quantitative Understanding
<a href="#">Aryl hydrocarbon receptor activation leading to early life stage mortality via sox9 repression induced cardiovascular toxicity</a>	non-adjacent	High	Low

#### Evidence Supporting Applicability of this Relationship

##### Taxonomic Applicability

Term	Scientific Term	Evidence	Links
zebrafish	<i>Danio rerio</i>	High	<a href="#">NCBI</a>
mouse	<i>Mus musculus</i>	High	<a href="#">NCBI</a>
Salmo salar	<i>Salmo salar</i>	High	<a href="#">NCBI</a>
rainbow trout	<i>Oncorhynchus mykiss</i>	High	<a href="#">NCBI</a>
medaka	<i>Oryzias latipes</i>	High	<a href="#">NCBI</a>
human	<i>Homo sapiens</i>	Moderate	<a href="#">NCBI</a>

##### Life Stage Applicability

Life Stage	Evidence
Embryo	High
Development	High

##### Sex Applicability

Sex	Evidence

Unspecific High  
Sex Evidence**Key Event Relationship Description**

- The Ahr signaling pathway has been shown to have critical functions in the heart, including development of the heart and angiogenesis in a variety of organisms (See “Activation, AhR” Key event 18 page for references).
- Further, disruption of the Ahr signaling pathway has been associated with cardiovascular toxicity in animals including birds and fish (Heid et al. 2001; Incardona et al. 2009).
- This KER page provides some highlights of the evidence relating Ahr activation and cardiovascular development and function.

**Evidence Supporting this KER**

KER 2765 concordance table:

[https://aopwiki.org/system/dragonfly/production/2022/10/21/7su9acuq5b\\_Concordance\\_Table\\_AHR\\_to\\_cardiovascular\\_clean.pdf](https://aopwiki.org/system/dragonfly/production/2022/10/21/7su9acuq5b_Concordance_Table_AHR_to_cardiovascular_clean.pdf)**Biological Plausibility**

- Ahr mRNA and protein expression (along with Ahr signaling pathways genes, such as cyp1a) have been detected in the developing heart of a number of organisms including mice (Abbott et al. 1995) and zebrafish (Andreasen et al. 2002). Ahr activating chemicals (such as, TCDD) increase expression of these genes in the heart (Andreasen et al. 2002) providing biological plausibility evidence for the role of Ahr in cardiotoxicity.

**Empirical Evidence**Empirical evidence and essentiality of KE<sub>up</sub> for KE<sub>down</sub> to occur

- Zebrafish embryos exposed during development to a number of Ahr activating chemicals cause concentration-dependent cardiovascular toxicity, indicated as pericardial edema (area, severity, or percent animals), inhibition of cardiac looping, among other cardiac-specific measurements. Examples include, but are not limited to, TCDD and the PAH, Benzo[a]pyrene (Bugiak and Weber 2010; Knecht et al. 2017), Aroclor 1254 (Li et al. 2014), PCB-126 (Jonsson et al. 2007; Liu et al. 2016), and cyprodinil (Tang et al. 2020).
- Additionally, several morpholino knockdown (Jayasundara et al. 2015; Jonsson et al. 2007) and CRISPR-Cas9 knockout (Fu et al. 2019) studies, in addition to Ahr chemical inhibitor (McGee et al. 2013) studies have definitively demonstrated Ahr’s role in cardiotoxicity induced by several Ahr activating chemicals.

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